



WSDOT DISADVANTAGED BUSINESS ENTERPRISE PROGRAM:

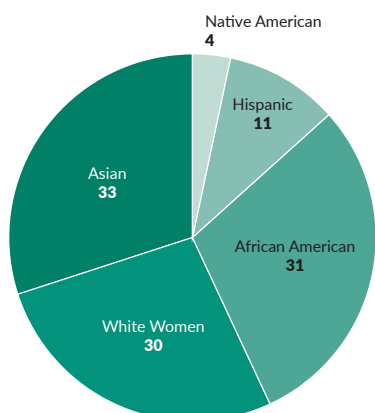
QUARTERLY PROGRESS REPORT TO FHWA, JANUARY 2017

The Washington State Department of Transportation (WSDOT) provides quarterly progress reports to the Federal Highway Administration (FHWA) on both project and program-level Disadvantaged Business Enterprise (DBE) participation, pursuant to the Conciliation Agreement between FHWA and WSDOT, executed on March 20, 2014.

This quarterly report includes progress updates for both the Alaskan Way Viaduct (AWV) Bored Tunnel project and WSDOT's statewide DBE program. The participation and other data included in this report represents information verified through October 31, 2016; other activities noted reflect work performed through December 2016.

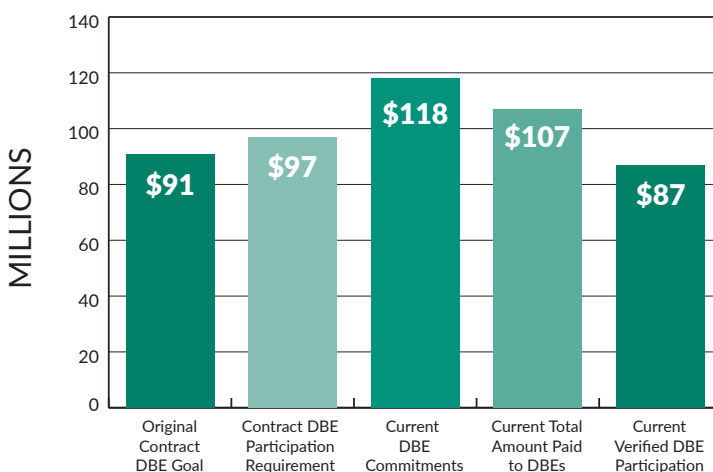
IMPROVING OVERSIGHT, EXPANDING OPPORTUNITIES:

WSDOT conducted an updated analysis of the race, ethnicity and gender of the DBE firm owners working on the AWV project. This information is provided below:



PROGRESS AT A GLANCE

AWV Project-Specific Efforts

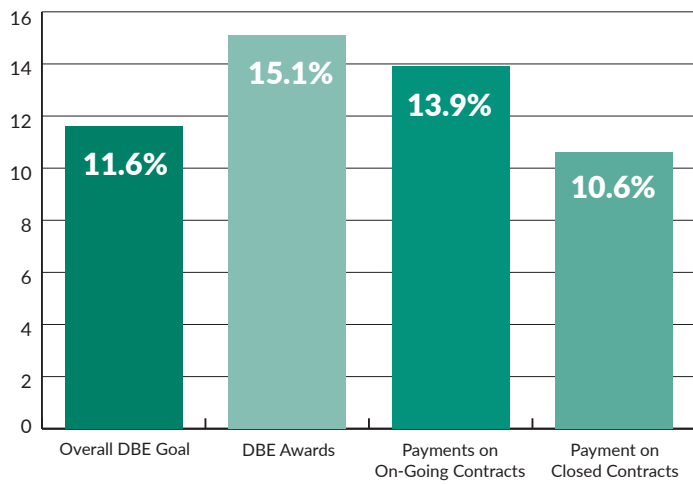


1. The Original Contract DBE Goal was established when the project was awarded.
2. The Contract DBE Participation Requirement is a condition of Change Order 91.
3. The Current DBE Commitments illustrate the total value of contracts awarded to DBEs.
4. The Current Amount Paid to DBEs is the total amount paid as of October 31, 2016.
5. The Current Verified DBE Participation demonstrates payments that have been audited following rigorous verification procedures (reviewing cancelled checks, verifying firms are certified for the work they are performing, federal counting rules, etc.) to ensure payments can count toward the Contract DBE Participation Requirement.

AGENCY PROGRESS

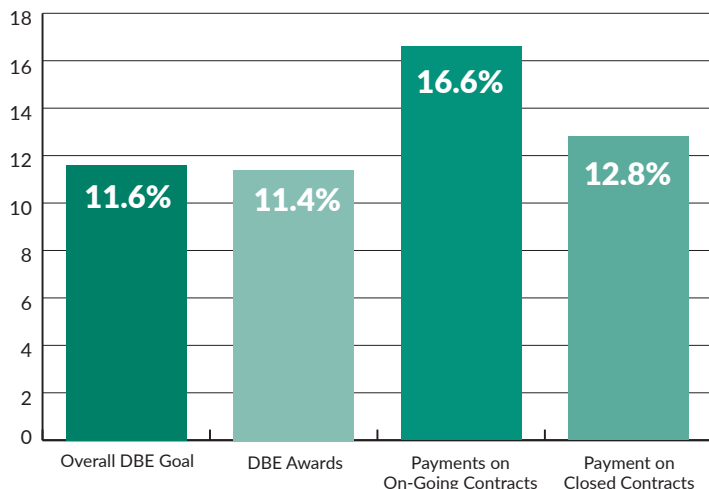
For Federal Fiscal Year (FFY) 2016 (October 1, 2015 through September 30, 2016), WSDOT (Local Programs, Consultant Services and HQ Construction) has attained 15.1 percent in DBE commitments (condition of award goals 12.45 percent; voluntary participation 2.65 percent), 13.9 percent in payments on ongoing contracts, and 10.6 percent in payments on closed contracts.

FFY 2016 DBE Attainment



Thus far for Federal Fiscal Year (FFY) 2017 (October 1, 2016 through November 30, 2016), WSDOT (Local Programs, Consultant Services and HQ Construction) has attained 11.4 percent in DBE commitments (condition of award goals 7.04 percent; voluntary participation 4.38 percent), 16.6 percent in payments on ongoing contracts, and 12.8 percent in payments on closed contracts.

FFY 2017 DBE Attainment



SEATTLE TUNNEL PARTNERS (STP) EFFORTS TO ACHIEVE DBE CONTRACT GOAL

This report constitutes the December 2016 FHWA Quarterly DBE Progress Report for the SR 99 Bored Tunnel Design-Build contract. This section summarizes DBE progress on the SR 99 Tunnel contract for the period of August 1, 2016 to October 31, 2016. A detailed summary of changes between Seattle Tunnel Partners (STP) DBE Progress Report #65 and Progress Report #68 may be found in Exhibit A.

STP monthly DBE Progress Reports #66, #67 and #68 were verified following the monthly verification procedures approved by FHWA. WSDOT's verification of STP's DBE Progress Report #68 may be found in Exhibit B. Exhibit B is being provided for comparative purposes with STP DBE Progress Report #65, which was previously submitted to FHWA under the September 2016 FHWA DBE Quarterly Progress Report.

- A summary of validated DBE participation to date may be found on the graph in Exhibit C.
 - Exhibit C indicates overall validated participation to date, both prior to and after the October 31, 2013 FHWA Title VI Investigative Report.
 - Exhibit C indicates that, at \$87.3 million, STP has achieved their DBE participation goal to the DBE revenue curve.
 - Exhibit C also indicates how the design-build contractor is progressing towards the 8 percent DBE goal of \$96.9 million.
 - The 8 percent DBE goal has surpassed the \$96 million DBE participation requirement, as established by Contract Change Order #91, which was executed in conjunction with the WSDOT/FHWA Conciliation Agreement.
 - Change Order #91 did not eliminate the 8 percent DBE goal. Thus, in addition to attaining

the \$96 million, STP must also attain the 8 percent DBE goal or show a good faith effort to do so.

- The graph indicates that in addition to catching up to the DBE revenue forecast curve, STP appears to be tracking toward attainment of the 8 percent DBE goal.

A summary of progress since submittal of the first STP DBE Progress Report (#39) to FHWA in July 2014 is provided in the table below:

Table: Post Conciliation Agreement Progress

Summary	PR #39, thru 5/30/2014	PR #68, thru 10/31/2016	Progress
Contract DBE Participation Requirement	\$96,000,000	\$96,903,280	N/A
Current DBE Commitments (Awards)	\$77,447,226	\$117,962,591	\$40,515,365
Current Total Amount Paid to DBEs	\$55,050,570	\$107,480,158	\$52,429,588
Current DBE Participation	\$32,036,156	\$87,308,140	\$55,271,984
Number of DBE Contracts	133	211	78
Number of DBE Firms	86	109	23

- **DBE Subcontracting Oversight Committee.** Between August 1, 2016 and October 31, 2016, STP continued to report on procurement efforts at the committee meetings.
 - STP submitted their quarterly DBE Action Plan on October 31, 2016, documenting their approach to DBE goal attainment on the project. The plan provided anticipated upcoming contracting opportunities where STP will work to maximize DBE participation. Remaining contracting opportunities are separated by scope, estimated dollar value and timeframe the work is anticipated to be available.
 - August through October, STP discussed upcoming bids with WSDOT, the Washington State Office of Minority and Women's Business

Enterprises (OMWBE), and the independent DBE Program Coordinator at bi-weekly STP Subcontracting Oversight Committee meetings. At these meetings, STP provided additional details, requested feedback from participants and incorporated suggestions.

- STP, WSDOT and FHWA agreed to hold the next meeting on November 3, then adjourn the meeting until spring or summer 2017. The reason was due to the lack of agenda topics as the list of future opportunities is getting small and there are no opportunities on the list at this time.
- **STP DBE Communications.** STP's DBE Progress Report #65, submitted with the September 2016 FHWA DBE Report, documented outreach through October 2016. STP's DBE Progress Report #68 for October 2016, reports the following outreach events (See Exhibit B, Attachment #1):
 - On November 3, STP attended the National Association of Minority Contractors of Washington (NAMC) meeting and networked with minority- and women-owned businesses.
 - On November 3, STP sponsored a table and attended the North Puget Sound Small Business Summit and networked with minority- and women-owned businesses.
 - On November 9, STP sponsored a table and attended the WSDOT Business After Hours and networked with minority- and women-owned businesses.
 - On December 1, STP sponsored a table and attended the UW Foster School of Business - Business Impact Awards while networking with minority- and women-owned businesses.
 - On December 16, STP attended the Washington Construction Design & Entrepreneurs (CDE) meeting and network with minority- and women-owned businesses.

- On January 5, STP attended the NAMC meeting and networked with minority- and women-owned businesses.
- On January 20, STP plans to attend the CDE meeting and network with minority and women owned businesses.
- On January 28, STP plans to attend the Tabor 100 General Membership meeting.
- **WSDOT DBE Outreach.** Outreach activities performed by the WSDOT AWV HQ DBE Administrator for the AWV Replacement Program, September 1, 2016 through December 30, 2016 included:
 - On September 30, the AWV HQ DBE Program Administrator attended the Tabor 100 Gala.
 - On October 29, the AWV HQ DBE Program Administrator attended the Tabor 100 General Membership meeting to provide project updates and information on upcoming opportunities.
 - During this reporting period, the AWV HQ DBE Program Administrator spoke with multiple trucking firms regarding possible opportunities on the project.
 - During this reporting period, the AWV HQ DBE Program Administrator assisted one DBE firms with bonding assistance and or waiver options.
 - During the reporting period, the AWV HQ DBE Program Administrator assisted one DBE firm with issues related to the Project Labor Agreement (PLA) and the use of core employees.
 - The AWV HQ DBE Program Administrator worked with one DBE firm regarding adding NAICS codes.
 - The AWV HQ DBE Program Administrator assisted one new MWBE consulting firm with DBE certification process.
 - The AWV HQ DBE Program Administrator provided direction to multiple firms through one-

on-one sessions regarding possible contracting opportunities on the AWV project, including:

- Engineering and permitting firm
- Structural and civil engineering firm
- Janitorial and site clean-up firm
- Marine contractor
- New DBE flagging firm
- DBE trucking firm
- Provided advisement to one DBE firm on contract close-out and release of retainage

Certification of Monetary Commitments

- **Verified DBE participation.** AWV project staff followed the Monthly DBE Progress Report Verification Procedures in performing the verification of the \$118 million in STP claimed commitments and \$87.3 million in claimed participation reported by STP in Progress Report #68 (See Exhibit B: Memorandum, Validation STP Monthly DBE Progress Report #68). This process was followed to validate STP Progress Reports #66 and #67.
- **Work site monitoring.** During the period of August 2016 through October 2016, the following activities occurred:
 - There were three on-site reviews performed; one in August, one in September and one in October. As new DBEs come onto the project or pre-existing DBE subcontractors return, additional on-site reviews will be completed.
 - The DBE labor hours were reported as follows:

DBE Progress Report	STP Reported Hours	Certified Payroll Hors	Delta
#66	5,498.75	5,380.50	(118.25)
#67	3,802.75	3,577.25	(225.50)
#68	6,714.25	6,455.50	(258.75)
TOTAL	16,015.75	15,413.25	(602.50)

Discrepancies between Total Hours Reported and Certified Payrolls can be attributed to differences in reporting periods by the contractor(s) not submitting paperwork in a timely fashion. Thus, STP will continue to work with their subcontractors to ensure labor hours are reported in a timely and efficient manner.

- **Monetary commitments.** A comparison of STP Progress Report #65, as reported in the September 2016 FHWA DBE Report, and Progress Report #68 (see Exhibit A: Attachment #1) is as follows:

Summary	PR #65	PR #68	Progress
Contract DBE Participation Requirement	\$96,903,280.09	\$96,903,280.09	N/A
Current DBE Commitments	\$115,219,437.79	\$117,962,590.55	\$2,743,152.76
Current Total Amount Paid to DBEs	\$103,579,817.33	\$107,480,157.99	\$3,900,340.66
Current DBE Participation	\$82,428,375.92	\$87,308,139.63	\$4,879,763.71
# of DBE Sub-contractors	109	109	0
# of DBE Sub-contracts	206	211	5

Utilization, Prompt Payment and Retainage

- STP Progress Report #68 indicates the number of DBE subcontractors working on the project totals 109 DBE firms, which is the same as reported in Progress Report #65.
- The total number of subcontracts increased from 206 reported on Report #65 to 211 reported on Report #65.
- Comparing Report #65 to Report #68 resulted in the following changes:
 - 54 Commitment changes totaling a \$2,743,152.76 increase. (Exhibit A: Attachment #2)
 - 55 Invoiced to Date changes totaling a \$3,195,129.87 increase. (Exhibit A: Attachment #3)
 - 66 Payments to Date changes totaling a \$3,900,340.66 increase. (Exhibit A: Attachment #4)

- 70 DBE Amount (as amended) changes totaling a \$4,879,763.71 increase. (Exhibit A: Attachment #5)
- The status of contracts and associated retainage may be found in Exhibit B: Attachment #6: List of DBE Subcontracts.

Monitoring and Investigation of Complaints Regarding DBE Participation

- **Prompt payment issue update.** During the reporting period of August 1, 2016 through December 31, 2016, there was one prompt payment issue that was resolved:
 - 1st tier janitorial service prompt pay concern resolved December 22, 2016
- **Project separation.**
 - A DBE window supplier has requested to separate from the AWW project. The DBE had not begun work. The AWW HQ DBE Program Administrator has investigated and communicated with the DBE and determined that project delay and other commercial pursuits were the basis for the request (*Update: The DBE has filled out the appropriate paper work and has been approve to separate from the project. Another DBE has been identified to perform the work*).

WSDOT DBE PROGRAM LEVEL PROGRESS

DBE Monitoring Processes and Procedures

- On November 14, WSDOT executed a contract with B2GNow, a diversity software tracking system. WSDOT is working with B2GNow on implementation. This system will monitor DBE participation more closely than the current DBE Participation Tracking System and provide enhanced project goal and payment oversight by WSDOT OEO. Additionally, this system will enhance DBE prompt payment monitoring. WSDOT OEO believes this system will allow the agency to

more effectively implement many aspects of the DBE Program.

- To enhance WSDOT's delivery of its external civil rights programs, Secretary of Transportation Millar has authorized WSDOT OEO to fill an additional 15 positions, bringing the office to 39 staff members. The DBE Program will now have 20 employees. Twelve employees will be working in DBE Contract Compliance and the other eight employees will work in other areas of the DBE Program including but not limited to Support Services, Community Engagement and Policy. WSDOT OEO has hired multiple employees and anticipates being fully staffed by the end of January. In comparison to the previous conciliation report, this is an increase in the number of positions dedicated to the DBE Program.
- On December 12, WSDOT OEO submitted an updated individual project goal setting methodology. This version provides a more detailed explanation of the current goal setting methodology and is currently under review with FHWA.
- WSDOT DBE Program staff are updating the DBE Program Participation plan to incorporate counting and monitoring brokers and trucking.
- WSDOT Local Program, HQ Construction and OEO continue to work on a written certification form that will meet everyone's needs.
- WSDOT continues to collaborate with FHWA regarding the prompt payment of Title VI complaints. WSDOT is actively attempting to resolve these complaints with the individual complainants.
- WSDOT OEO, HQ Construction and Washington Division FHWA continue to collaborate on developing comprehensive design-build DBE contract language.
- Colette Holt & Associates continues to make significant progress to complete the Disparity Study. The internal data collection portion of the DBE Program Disparity Study, as well as focus

group meetings with both stakeholders and business owners, has been completed. The consultant is now collecting contracting information from local agencies as well as prime contractors and consultants. WSDOT anticipates the study will be completed in late spring 2017.

- As of December 28, WSDOT OEO has no active prompt payment complaints and the prompt payment complaints noted in the previous report have been resolved or closed (i.e. determined to be contractual disputes).
- WSDOT is working to implement a Mentor/Protégé program for state funded projects. The intent of this program is to increase opportunities, capacity and participation for minority-, small-, veteran- and women-owned firms. For more detailed information, please reference Exhibit D, WSDOT's State Funded Diversity Roadmap.

OUTREACH & SUPPORT SERVICES

- As previously reported, in order to increase the effectiveness of the DBE Advisory Group, WSDOT restructured the committee. During this reporting period the following meetings occurred:
 - On September 15, WSDOT met with the DBE Focus Group. During this meeting the discussion topics included the Disparity Study, focus group objectives, DBE best practices and challenges and discussion topics for other focus groups.
 - On October 13, WSDOT met with the Prime Focus Group. During this meeting the discussion topics included the Disparity Study, Small Business Program, Mentor/Protégé program, focus group objectives and discussion topics for other focus groups.
 - On November 9, WSDOT met with the entire DBE Advisory Group. During this meeting, discussion topics included the Disparity Study, B2GNow, statewide DBE Program training and an OEO staffing update.

- In December, WSDOT OEO hired a Community Engagement Specialist, Julius Brooks. Julius assists the Director of OEO in outreach and community engagement activities which allows Director Key to focus on additional matters.
- During the reporting period, WSDOT OEO attended the following events:
 - Tabor 100 General Membership meetings
 - NAMC
 - Business After Hours
 - CDE Meetings
 - Association of General Contractors – WSDOT/ Administrative Team Meetings
- On December 12, WSDOT OEO distributed a DBE Program Newsletter, attached as Exhibit E.

Exhibit A: Summary of DBE Progress Changes between STP DBE Progress Report #65 and #68.

Attachment #1, Summary of Comparison between DBE Progress Report #65 and #68

Attachment #2, Comparison of DBE Progress Report #65 and #68 DBE Commitment Validation

Attachment #3, Comparison of DBE Progress Report #65 and #68 DBE Invoice to Date Validation

Attachment #4, Comparison of DBE Progress Report #65 and #68 DBE Total Payments Validation

Attachment #5, Comparison of DBE Progress Report #65 and #68 DBE Participation Validation

Exhibit B: Memorandum, Validation STP Monthly DBE Progress Report #68

Attachment #1, STP DBE Progress Report No. 68, October 2016

Attachment #2, DBE Commitment Validation

Attachment #3, DBE Invoice to Date Validation

Attachment #4, DBE Total Payments Validation

Attachment #5, DBE Amount (as amended) Validation

Attachment #6, Status of DBE Subcontracts

Attachment #7, DBE Certified Payrolls Hours Verification, October 2016

Exhibit C: Summary Graph of Verified DBE Participation to Project DBE Revenue Curve

Exhibit D: State Funded Diversity Roadmap

Exhibit E: DBE Program Newsletter

Americans with Disabilities Act (ADA) Information: This material can be made available in an alternate format by emailing the Office of Equal Opportunity at wsdotada@wsdot.wa.gov or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

Title VI Statement to Public: It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator at (360) 705-7082.

17-01-0021

Exhibit A

SR 99 Bored Tunnel Design-Build Contract

Summary of DBE Progress Changes- STP DBE Progress Report #65 vs #68

EXHIBIT A: ATTACHMENT # 1
SUMMARY OF DBE PROGRESS REPORT VALIDATIONS- PROGRESS REPORT #62 VS #59

	DBE Progress Report		
	5/1/2016- 7/31/2016	8/1/2016- 10/31/2016	
Progress to Date	#65	#68	Delta
Commitments	\$ 115,219,437.79	\$ 117,962,590.55	\$ 2,743,152.76
Invoiced to Date	\$ 105,780,253.69	\$ 108,975,383.56	\$ 3,195,129.87
Payments to Date	\$ 103,579,817.33	\$ 107,480,157.99	\$ 3,900,340.66
DBE Participation	\$ 82,428,375.92	\$ 87,308,139.63	\$ 4,879,763.71
# of DBE Contractors	109	109	0
# DBE Subcontracts	206	211	5
On-Site Reviews	0	3	N/A

DBE Progress Report	STP Reported Hours	Certified Payroll Hours	Delta
#66	5,498.75	5,380.50	(118.25)
#67	3,802.75	3,577.25	(225.50)
#68	6,714.25	6,455.50	(258.75)
TOTAL	16,015.75	15,413.25	(602.50)

EXHIBIT A: ATTACHMENT # 2
DBE COMMITMENT VALIDATION

Count	Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#68 Commitment	#65 Commitment	#68 - #65 Commitment Change	#68 Invoiced to Date
FIRST TIER											
1	Catherine Bassetti	#D2F2313819	Progress photography	V	5-Mar-2012	Active	100%	\$ 130,045.14	\$ 122,645.14	\$ 7,400.00	\$ 130,045.14
2	CivilTech Engineering	#D4M0018825	Design & Consulting	V	31-Jul-2012	Active	100%	\$ 594,706.03	\$ 588,688.53	\$ 6,017.50	\$ 594,706.03
3	DHD Trucking	#D3M9620749	Trucking Roster Rotation	V	9-Nov-2015	Active	100%	\$ 10,827.50	\$ 10,827.50	\$ -	\$ 10,827.50
4	DHD Trucking	#D3M9620749	Street Sweeping	S	10-Jan-2012		100%	\$ 1,352,390.98	\$ 1,330,593.38	\$ 21,797.60	\$ 1,352,390.98
5	Global Geophysics	#M4M0021256	Vibration Monitoring	V	21-May-2012	Active	100%	\$ 1,352,499.77	\$ 1,272,881.87	\$ 79,617.90	\$ 1,352,499.77
6	Greylock Consulting LLC	#D2F8019850	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 297,263.31	\$ 294,679.09	\$ 2,584.22	\$ 297,263.31
7	J. Keiser & Associates	#D5F0023416	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 218,521.20	\$ 193,821.20	\$ 24,700.00	\$ 218,521.20
8	Meeds Environmental	#D3M0021813	Filter Press	V	2-Apr-2015		100%	\$ 68,500.00	\$ 63,020.00	\$ 5,480.00	\$ 68,500.00
9	MindSeekers, LLC	#D3M6917745	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 519,660.93	\$ 481,724.41	\$ 37,936.52	\$ 519,660.93
10	Oatridge Security Group Inc	#D5M8421939	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 7,323,774.50	\$ 6,997,825.50	\$ 325,949.00	\$ 7,323,774.50
11	OMA Construction Inc.	#D4M2316494	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 19,864,907.02	\$ 19,608,119.77	\$ 256,787.25	\$ 19,860,425.46
12	Oneill Service Group	#D5M9820775	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 1,098,327.81	\$ 1,034,830.89	\$ 63,496.92	\$ 1,098,327.81
13	Osiris Enterprises LLC	#D3M1021155	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 490,368.50	\$ 448,092.50	\$ 42,276.00	\$ 490,368.50
14	PRR, Inc.	#D4F3908454	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 1,006,168.49	\$ 965,500.49	\$ 40,668.00	\$ 1,006,168.49
15	Savage Logistics, LLC	#D2F8020092	Freight	V	28-Mar-2013	Active	99%	\$ 1,185,467.00	\$ 1,107,505.50	\$ 77,961.50	\$ 1,185,467.00
16	Sundancer Electric Inc.	#D1M8522607	Tunnel Electrical	S	17-Jan-2013	Active	100%	\$ 4,601,810.64	\$ 4,590,215.14	\$ 11,595.50	\$ 4,601,810.64
VENDORS											
17	Birch Equipment Rental & Sales, Inc.	#D2F0022284	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 478,250.08	\$ 450,331.78	\$ 27,918.30	\$ 478,250.08
18	Excel Supply Company	#D4F2312899	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 68,917.04	\$ 68,171.60	\$ 745.44	\$ 68,917.04
19	Highway Specialties LLC	#D2F0019389	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 426,365.38	\$ 423,251.76	\$ 3,113.62	\$ 426,365.38
20	Quality Discount Supplies & Services (John W. Quarles)	#D3M0700021	Office Supplies	R	NA	Active	100%	\$ 8,515.39	\$ 7,826.69	\$ 688.70	\$ 8,515.39
21	United Reprographics	#D3M0017126	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 17,516.35	\$ 15,594.35	\$ 1,922.00	\$ 17,516.35
LOWER TIER											
BASF - KTI Logistics (Non-DBE sub)											
22	Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	#D2F8020092	Freight	V	17-Jun-2013	Active	90%	\$ 29,529.00	\$ 24,669.00	\$ 4,860.00	\$ 29,529.00
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)											
23	Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 3,208,462.07	\$ 2,796,007.07	\$ 412,455.00	\$ 3,208,462.07
HNTB (Non-DBE sub)											
24	Oneill Service Group (Added on #50)	#D5M9820775	Matirals Approval Engineer	V	30-Apr-2015		80%	\$ 206,354.25	\$ 168,905.25	\$ 37,449.00	\$ 206,354.25
25	Saez Consulting Engineers Inc. (LT to HNTB)	#M5M0019808	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 442,702.43	\$ 430,543.61	\$ 12,158.82	\$ 442,702.43
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)											
26	JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	#M3M0018112	Mechanical #1533	S	1-Aug-2013	4/1/2015	100%	\$ 2,723,878.04	\$ 2,784,206.72	\$ (60,328.68)	\$ 2,784,206.72
JH KELLY - FISK ELECTRIC (Non-DBE sub)											
27	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0444A	R	20-Apr-2013		100%	\$ 5,718,567.11	\$ 5,714,426.55	\$ 4,140.56	\$ 5,683,961.88
28	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,393,269.37	\$ 5,363,965.42	\$ 29,303.95	\$ 5,228,146.02
29	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #256256	R	8-Feb-2016			\$ 77.23	\$ 344.47	\$ (267.24)	\$ 77.23
30	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #270234	R	25-Feb-2016			\$ 813.50	\$ 348,330.00	\$ (347,516.50)	\$ 813.50
31	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #279119	R	30-Mar-2016			\$ 125.00	\$ 225,819.00	\$ (225,694.00)	\$ 125.00
32	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #292345	R	9-May-2016			\$ 205.61	\$ 2,760.00	\$ (2,554.39)	\$ 205.61
33	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #293767	R	12-May-2016			\$ 344.47	\$ 2,760.00	\$ (2,415.53)	\$ 344.47
34	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #297235	R	24-May-2016			\$ 348,330.00	\$ 3,074.88	\$ 345,255.12	\$ 344,563.50
35	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	#D2F0017012	Electrical Supply #0720A	R	1-Jun-2013		100%	\$ 225,819.00	\$ 174.65	\$ 225,644.35	\$ -

EXHIBIT A: ATTACHMENT # 2
DBE COMMITMENT VALIDATION

Count	Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#68 Commitment	#65 Commitment	#68 - #65 Commitment Change	#68 Invoiced to Date
36	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	#D2F0017012	Electrical Supply #0673A	R	1-May-2013		100%	\$ 2,760.00	\$ 249.61	\$ 2,510.39	\$ 2,760.00
37	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	#D2F0017012	Electrical Supply #0680X	R	1-May-2013		100%	\$ 2,760.00	\$ 47.41	\$ 2,712.59	\$ 2,760.00
38	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	#D2F0017012	Electrical Supply #0632A	R	1-Apr-2013		100%	\$ 3,074.88	\$ 2,760.56	\$ 314.32	\$ 3,074.88
39	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	#D2F0017012	Electrical Supply #29250	R	1-Oct-2013		100%	\$ 174.65	\$ 1,573.93	\$ (1,399.28)	\$ 174.65
40	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #32814	R	7-Nov-2013		100%	\$ 249.61	\$ 64,000.00	\$ (63,750.39)	\$ 249.61
41	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #45436	R	13-Jan-2014	2/13/2014	100%	\$ 47.41	\$ 608.40	\$ (560.99)	\$ 47.41
42	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #7747	R	6-Aug-2013		100%	\$ 2,760.56	\$ 191.63	\$ 2,568.93	\$ 2,760.56
43	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #31840	R	1-Nov-2013		100%	\$ 1,573.93	\$ 1,445.40	\$ 128.53	\$ 1,573.93
44	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #118390	R	15-Sep-2014		100%	\$ 64,000.00	\$ 6,296.25	\$ 57,703.75	\$ 64,000.00
45	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #142187	R	6-Dec-2014		100%	\$ 608.40	\$ 4,627.44	\$ (4,019.04)	\$ 608.40
46	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #7747	R	6-Aug-2013		100%	\$ 191.63		\$ 191.63	\$ 191.63
47	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #31840	R	1-Nov-2013		100%	\$ 1,445.40		\$ 1,445.40	\$ 1,445.40
48	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #118390	R	15-Sep-2014		100%	\$ 6,296.25		\$ 6,296.25	\$ 6,296.25
49	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #142187	R	6-Dec-2014		100%	\$ 4,627.44		\$ 4,627.44	\$ 4,627.44
	NCM (Non-DBE sub)	NCM									
50	OMA (LT to NCM) (Added for #38)	#D4M2316494	Demo, Trucking Secants, Wall Openings and Cradle	V	25-Mar-2014	3/26/2014	96%	\$ 206,032.50	\$ 193,452.50	\$ 12,580.00	\$ 206,032.50
51	Mar-Vac / Marine Vacuum Service Inc. (LT to NCM) (Added on #42)	#M4M0002341	Vac-truck services	V	26-Jun-2014	Active	100%	\$ 39,108.76	\$ 33,233.40	\$ 5,875.36	\$ 39,108.76
	PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)	PROFESSIONAL SERVICES INDUSTRY (PSI)									
52	AAR Testing Laboratories Inc (LT to PSI)	#D2F4708231	Concrete testing and laboratory testing	V	19-Oct-2015		#DIV/0!	\$ 10,663.50	\$ 5,895.00	\$ 4,768.50	\$ 10,663.50
	US Electric (Non-DBE sub)	US ELECTRIC									
53	Sundancer Electric (LT to US Electric)	#D1M8522607	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 2,756,636.68	\$ 1,532,954.14	\$ 1,223,682.54	\$ 2,756,636.68
	VANDERLIP & COMPANY, INC. (Non-DBE sub)	VANDERLIP & CO., INC.									
54	Birch Equipment (LT to Vanderlip & Company, Inc.) (Added on #66)	#D2F0022284	Equipment Rental	R	7-Dec-2015		100%	\$ 16,330.40		\$ 16,330.40	\$ 16,330.40
								\$ 117,962,590.55	\$ 115,219,437.79	\$ 2,743,152.76	\$ 108,975,383.56

EXHIBIT A: ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION

Count	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Subcontract Start Date	Subcontract End Date	% of work completed	#68 Commitment	#68 Invoiced to Date	#65 Invoiced to Date	#68 - #65 Invoiced
FIRST TIER									
1	Catherine Bassetti	Progress photography	5-Mar-2012	Active	100%	\$ 130,045.14	\$ 130,045.14	\$ 122,645.14	\$ 7,400.00
2	CivilTech Engineering	Design & Consulting	31-Jul-2012	Active	100%	\$ 594,706.03	\$ 594,706.03	\$ 588,688.53	\$ 6,017.50
3	DHD Trucking	Street Sweeping	10-Jan-2012		100%	\$ 1,352,390.98	\$ 1,352,390.98	\$ 1,330,593.38	\$ 21,797.60
4	Global Geophysics	Vibration Monitoring	21-May-2012	Active	100%	\$ 1,352,499.77	\$ 1,352,499.77	\$ 1,272,881.87	\$ 79,617.90
5	Greylock Consulting LLC	Environmental Consulting Services	1-Oct-2011	Active	100%	\$ 297,263.31	\$ 297,263.31	\$ 294,679.09	\$ 2,584.22
6	J. Keiser & Associates	DBE Coordinator - Consulting	30-Apr-2014	Active	100%	\$ 218,521.20	\$ 218,521.20	\$ 193,821.20	\$ 24,700.00
7	Meeds Environmental	Filter Press	2-Apr-2015		100%	\$ 68,500.00	\$ 68,500.00	\$ 63,020.00	\$ 5,480.00
8	MindSeekers, LLC	Temporary Staffing	27-Feb-2013	Active	99%	\$ 519,660.93	\$ 519,660.93	\$ 481,724.41	\$ 37,936.52
9	Oatridge Security Group Inc	Security Guard Services	10-Oct-2011	Active	100%	\$ 7,323,774.50	\$ 7,323,774.50	\$ 6,997,825.50	\$ 325,949.00
10	OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	25-Sep-2012	Active	100%	\$ 19,864,907.02	\$ 19,860,425.46	\$ 19,596,944.97	\$ 263,480.49
11	Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	Active	100%	\$ 1,098,327.81	\$ 1,098,327.81	\$ 1,034,830.89	\$ 63,496.92
12	Osiris Enterprises LLC	Janitorial Services	12-Jan-2012	Active	100%	\$ 490,368.50	\$ 490,368.50	\$ 448,092.50	\$ 42,276.00
13	PRR, Inc.	Subconsultant - Public Information	22-Feb-2011	Active	100%	\$ 1,006,168.49	\$ 1,006,168.49	\$ 965,500.49	\$ 40,668.00
14	Savage Logistics, LLC	Freight	28-Mar-2013	Active	99%	\$ 1,185,467.00	\$ 1,185,467.00	\$ 1,107,505.50	\$ 77,961.50
15	Sundancer Electric Inc.	Tunnel Electrical	17-Jan-2013	Active	100%	\$ 4,601,810.64	\$ 4,601,810.64	\$ 4,590,215.14	\$ 11,595.50
VENDORS									
16	Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	NA	Active	90%	\$ 478,250.08	\$ 478,250.08	\$ 450,331.78	\$ 27,918.30
17	Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	NA	Active	100%	\$ 68,917.04	\$ 68,917.04	\$ 68,171.60	\$ 745.44
18	Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	NA	Active	99%	\$ 426,365.38	\$ 426,365.38	\$ 423,251.76	\$ 3,113.62
19	Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	NA	Active	100%	\$ 8,515.39	\$ 8,515.39	\$ 7,826.69	\$ 688.70
20	United Reprographics	Business cards & printing services	12-Jan-2015	Active	93%	\$ 17,516.35	\$ 17,516.35	\$ 15,594.35	\$ 1,922.00
LOWER TIER									
BASF - KTI Logistics (Non-DBE sub)									
21	Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	Freight	17-Jun-2013	Active	90%	\$ 29,529.00	\$ 29,529.00	\$ 24,669.00	\$ 4,860.00
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)									
22	Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	23-Jul-2013		100%	\$ 3,208,462.07	\$ 3,208,462.07	\$ 2,796,007.07	\$ 412,455.00
HNTB (Non-DBE sub)									
23	Oneill Service Group (Added on #50)	Matirals Approval Engineer	30-Apr-2015		80%	\$ 206,354.25	\$ 206,354.25	\$ 168,905.25	\$ 37,449.00
24	Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	1-May-2011	Active	100%	\$ 442,702.43	\$ 442,702.43	\$ 430,543.61	\$ 12,158.82
JH KELLY (Non-DBE sub)									
25	Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	8-Apr-2013	12/29/2015	100%	\$ 1,332,000.00	\$ 715,044.50	\$ 604,258.50	\$ 110,786.00
	JH KELLY - FISK ELECTRIC (Non-DBE sub)								
26	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	20-Apr-2013		100%	\$ 5,718,567.11	\$ 5,683,961.88	\$ 5,682,575.00	\$ 1,386.88
27	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	10-Jul-2013		100%	\$ 5,393,269.37	\$ 5,228,146.02	\$ 5,165,557.99	\$ 62,588.03

EXHIBIT A: ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION

Count	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Subcontract Start Date	Subcontract End Date	% of work completed	#68 Commitment	#68 Invoiced to Date	#65 Invoiced to Date	#68 - #65 Invoiced
28	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #256256	8-Feb-2016			\$ 77.23	\$ 77.23	\$ 344.47	\$ (267.24)
29	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #270234	25-Feb-2016			\$ 813.50	\$ 813.50	\$ 200,037.50	\$ (199,224.00)
30	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #279119	30-Mar-2016			\$ 125.00	\$ 125.00	\$ -	\$ 125.00
31	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #292345	9-May-2016			\$ 205.61	\$ 205.61	\$ 2,760.00	\$ (2,554.39)
32	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #293767	12-May-2016			\$ 344.47	\$ 344.47	\$ 2,760.00	\$ (2,415.53)
33	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #297235	24-May-2016			\$ 348,330.00	\$ 344,563.50	\$ 3,074.88	\$ 341,488.62
34	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #0720A	1-Jun-2013		100%	\$ 225,819.00	\$ -	\$ 174.65	\$ (174.65)
35	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #0673A	1-May-2013		100%	\$ 2,760.00	\$ 2,760.00	\$ 249.61	\$ 2,510.39
36	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #0680X	1-May-2013		100%	\$ 2,760.00	\$ 2,760.00	\$ 47.41	\$ 2,712.59
37	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #0632A	1-Apr-2013		100%	\$ 3,074.88	\$ 3,074.88	\$ 2,760.56	\$ 314.32
38	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #29250	1-Oct-2013		100%	\$ 174.65	\$ 174.65	\$ 1,573.93	\$ (1,399.28)
39	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #32814	7-Nov-2013		100%	\$ 249.61	\$ 249.61	\$ 64,000.00	\$ (63,750.39)
40	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #45436	13-Jan-2014	2/13/2014	100%	\$ 47.41	\$ 47.41	\$ 608.40	\$ (560.99)
41	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #7747	6-Aug-2013		100%	\$ 2,760.56	\$ 2,760.56	\$ 191.63	\$ 2,568.93
42	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #31840	1-Nov-2013		100%	\$ 1,573.93	\$ 1,573.93	\$ 1,445.40	\$ 128.53
43	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #118390	15-Sep-2014		100%	\$ 64,000.00	\$ 64,000.00	\$ 6,296.25	\$ 57,703.75
44	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #142187	6-Dec-2014		100%	\$ 608.40	\$ 608.40	\$ 4,627.44	\$ (4,019.04)
45	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #7747	6-Aug-2013		100%	\$ 191.63	\$ 191.63		\$ 191.63
46	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #31840	1-Nov-2013		100%	\$ 1,445.40	\$ 1,445.40		\$ 1,445.40
47	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #118390	15-Sep-2014		100%	\$ 6,296.25	\$ 6,296.25		\$ 6,296.25
48	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #142187	6-Dec-2014		100%	\$ 4,627.44	\$ 4,627.44		\$ 4,627.44
	JH KELLY - SICE (Non-DBE sub)								
49	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #52)	Electrical contractor	1-May-2015		100%	\$ 2,791,067.39	\$ 265,078.97	\$ 208,678.65	\$ 56,400.32

EXHIBIT A: ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION

Count	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Subcontract Start Date	Subcontract End Date	% of work completed	#68 Commitment	#68 Invoiced to Date	#65 Invoiced to Date	#68 - #65 Invoiced
	NCM (Non-DBE sub)								
50	OMA (LT to NCM) (Added for #38)	Demo, Trucking Secants, Wall Openings and Cradle	25-Mar-2014	3/26/2014	96%	\$ 206,032.50	\$ 206,032.50	\$ 193,452.50	\$ 12,580.00
51	Mar-Vac / Marine Vacuum Service Inc. (LT to NCM) (Added on #42)	Vac-truck services	26-Jun-2014	Active	100%	\$ 39,108.76	\$ 39,108.76	\$ 33,233.40	\$ 5,875.36
	PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)								
52	AAR Testing Laboratories Inc (LT to PSI)	Concrete testing and laboratory testing	19-Oct-2015		#DIV/0!	\$ 10,663.50	\$ 10,663.50	\$ 5,895.00	\$ 4,768.50
	SOLDATA - KPG (Non-DBE sub)								
53	Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	1-Apr-2012	Active	100%	\$ 1,375,102.53	\$ 1,172,331.91	\$ 1,129,619.44	\$ 42,712.47
	US Electric (Non-DBE sub)								
54	Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	24-Jan-2016		100%	\$ 2,756,636.68	\$ 2,756,636.68	\$ 1,532,954.14	\$ 1,223,682.54
	VANDERLIP & COMPANY, INC. (Non-DBE sub)								
55	Birch Equipment (LT to Vanderlip & Company, Inc.) (Added on #66)	Equipment Rental	7-Dec-2015		100%	\$ 16,330.40	\$ 16,330.40		\$ 16,330.40
						\$ 117,962,590.55	\$ 108,975,383.56	\$ 105,780,253.69	\$ 3,195,129.87

EXHIBIT A: ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION

Count	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Subcontract Start Date	Subcontract End Date	% of work completed	#68 Invoiced to Date	#68 Total Payments this Report (October)	#68 Total Payments to Date	#65 Total Payments to Date	Compare Total Pymts to Date (#68 - #65)	Variance
	FIRST TIER										
1	Catherine Bassetti	Progress photography	5-Mar-2012	Active	100%	\$ 130,045.14	\$ 2,400.00	\$ 130,045.14	\$ 122,645.14	\$ 7,400.00	\$ (5,000.00)
2	CivilTech Engineering	Design & Consulting	31-Jul-2012	Active	100%	\$ 594,706.03		\$ 594,706.03	\$ 588,688.53	\$ 6,017.50	\$ (6,017.50)
3	DHD Trucking	Street Sweeping	10-Jan-2012		100%	\$ 1,352,390.98	\$ 8,719.04	\$ 1,352,390.98	\$ 1,325,044.90	\$ 27,346.08	\$ (18,627.04)
4	Global Geophysics	Vibration Monitoring	21-May-2012	Active	100%	\$ 1,352,499.77		\$ 1,352,499.77	\$ 1,192,096.52	\$ 160,403.25	\$ (160,403.25)
5	Greylock Consulting LLC	Environmental Consulting Services	1-Oct-2011	Active	100%	\$ 297,263.31	\$ 970.61	\$ 296,455.26	\$ 294,679.09	\$ 1,776.17	\$ (805.56)
6	J. Keiser & Associates	DBE Coordinator - Consulting	30-Apr-2014	Active	100%	\$ 218,521.20	\$ 8,700.00	\$ 218,521.20	\$ 193,821.20	\$ 24,700.00	\$ (16,000.00)
7	Meeds Environmental	Filter Press	2-Apr-2015		100%	\$ 68,500.00	\$ 2,740.00	\$ 68,500.00	\$ 63,020.00	\$ 5,480.00	\$ (2,740.00)
8	MindSeekers, LLC	Temporary Staffing	27-Feb-2013	Active	99%	\$ 519,660.93	\$ 10,853.38	\$ 507,927.41	\$ 470,330.88	\$ 37,596.53	\$ (26,743.15)
9	Oatridge Security Group Inc	Security Guard Services	10-Oct-2011	Active	100%	\$ 7,323,774.50	\$ 162,280.75	\$ 7,323,774.50	\$ 6,674,841.00	\$ 648,933.50	\$ (486,652.75)
10	OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	25-Sep-2012	Active	100%	\$ 19,860,425.46		\$ 19,860,425.46	\$ 19,596,944.97	\$ 263,480.49	\$ (263,480.49)
11	Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	Active	100%	\$ 1,098,327.81	\$ 20,741.76	\$ 1,098,327.81	\$ 1,034,830.89	\$ 63,496.92	\$ (42,755.16)
12	Osiris Enterprises LLC	Janitorial Services	12-Jan-2012	Active	100%	\$ 490,368.50	\$ 10,309.00	\$ 480,059.50	\$ 448,092.50	\$ 31,967.00	\$ (21,658.00)
13	PRR, Inc.	Subconsultant - Public Information	22-Feb-2011	Active	100%	\$ 1,006,168.49	\$ 13,556.00	\$ 1,006,168.49	\$ 965,500.49	\$ 40,668.00	\$ (27,112.00)
14	Savage Logistics, LLC	Freight	28-Mar-2013	Active	99%	\$ 1,185,467.00	\$ 22,681.50	\$ 1,185,467.00	\$ 1,107,505.50	\$ 77,961.50	\$ (55,280.00)
15	Sundancer Electric Inc.	Tunnel Electrical	17-Jan-2013	Active	100%	\$ 4,601,810.64		\$ 4,601,810.64	\$ 4,590,215.14	\$ 11,595.50	\$ (11,595.50)
16	Transcon Company LLC	Underground Electrical Duct Bank Work	12-Dec-2013	Active	100%	\$ 2,393,752.18		\$ 2,393,752.18	\$ 2,302,776.64	\$ 90,975.54	\$ (90,975.54)
17	United Professional Caulking	Harrison Street Expansion Joints	12-Aug-2014		100%	\$ 79,250.00	\$ 3,962.50	\$ 79,250.00	\$ 75,287.50	\$ 3,962.50	\$ -
	VENDORS										
18	Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	NA	Active	90%	\$ 478,250.08	\$ 11,649.16	\$ 475,844.36	\$ 445,617.88	\$ 30,226.48	\$ (18,577.32)
19	Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	NA	Active	100%	\$ 68,917.04	\$ 137.66	\$ 68,917.04	\$ 68,171.60	\$ 745.44	\$ (607.78)
20	Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	NA	Active	99%	\$ 426,365.38	\$ 1,074.08	\$ 425,097.17	\$ 423,110.46	\$ 1,986.71	\$ (912.63)
21	Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	NA	Active	100%	\$ 8,515.39		\$ 8,515.39	\$ 7,826.69	\$ 688.70	\$ (688.70)
21	United Reprographics	Business cards & printing services	12-Jan-2015	Active	93%	\$ 17,516.35	\$ 30.64	\$ 17,516.35	\$ 12,217.40	\$ 5,298.95	\$ (5,268.31)
	LOWER TIER										
	BASF - KTI Logistics (Non-DBE sub)										
23	Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	Freight	17-Jun-2013	Active	90%	\$ 29,529.00		\$ 26,289.00	\$ 22,509.00	\$ 3,780.00	\$ (3,780.00)
	CROWN CORR (Non-DBE sub)										
24	Birch Equipment (LT to Crown Corr)	Rental Equipment - Boom, Scissorlift	23-Nov-2015		0%	\$ 65,254.25		\$ 65,254.25	\$ 47,744.44	\$ 17,509.81	\$ (17,509.81)
25	Red Arrow Logistics (LT to Crown Corr)	Freight	1-Oct-2015		24%	\$ 91,796.70		\$ 91,796.70	\$ 85,224.23	\$ 6,572.47	\$ (6,572.47)
	FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)										
26	Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	23-Jul-2013		100%	\$ 3,208,462.07		\$ 3,208,462.07	\$ 2,796,007.07	\$ 412,455.00	\$ (412,455.00)
	HNTB (Non-DBE sub)										
27	CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	25-Aug-2011	Active	100%	\$ 937,830.53	\$ 6,266.43	\$ 937,831.42	\$ 931,564.98	\$ 6,266.44	\$ (0.01)
28	Convergent Pacific (LT to HNTB)	Structural Engineering	28-Oct-2011	1/14/2013	100%	\$ 176,417.44	\$ 54.17	\$ 176,417.44	\$ 176,363.27	\$ 54.17	\$ (0.00)
29	Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	6-Jan-2012	Active	100%	\$ 1,053,181.53	\$ 15,293.65	\$ 1,053,181.54	\$ 1,037,887.89	\$ 15,293.65	\$ (0.00)
30	Oneill Service Group (Added on #50)	Matirals Approval Engineer	30-Apr-2015		80%	\$ 206,354.25	\$ 8,592.75	\$ 188,655.75	\$ 157,277.25	\$ 31,378.50	\$ (22,785.75)
31	Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	1-May-2011	Active	100%	\$ 442,702.43	\$ 3,244.64	\$ 431,931.45	\$ 422,713.38	\$ 9,218.07	\$ (5,973.43)
32	Schemata Workshop (LT to HNTB)	Architectural Specifications	16-Apr-2012	1/14/2013	100%	\$ 186,757.53	\$ 373.60	\$ 186,757.53	\$ 186,383.93	\$ 373.60	\$ (0.00)

EXHIBIT A: ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION

33	Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	26-Sep-2011	1/14/2013	100%	\$ 117,676.00	\$ 2,993.60	\$ 117,524.00	\$ 114,530.10	\$ 2,993.90	\$ (0.30)
	Holocene Drilling Inc (Non-DBE sub)										
34	Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	20-Jul-2012	Active	100%	\$ 128,589.62		\$ 128,589.62	\$ 123,517.12	\$ 5,072.50	\$ (5,072.50)
	JH KELLY (Non-DBE sub)										
35	Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	8-Apr-2013	12/29/2015	100%	\$ 715,044.50	\$27,020.00	\$ 661,609.98	\$ 577,843.98	\$ 83,766.00	\$ (56,746.00)
	JH KELLY - FISK ELECTRIC (Non-DBE sub)										
36	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	20-Apr-2013		100%	\$ 5,683,961.88	\$ 1,386.88	\$ 5,683,961.88	\$ 5,682,575.00	\$ 1,386.88	\$ 0.00
37	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	10-Jul-2013		100%	\$ 5,228,146.02	\$ 29,968.36	\$ 5,203,958.89	\$ 5,067,815.34	\$ 136,143.55	\$ (106,175.19)
38	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #256256	8-Feb-2016			\$ 77.23		\$ 77.23	\$ 344.47	\$ (267.24)	\$ 267.24
39	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #270234	25-Feb-2016			\$ 813.50	\$ 813.50	\$ 813.50	\$ 136,037.50	\$ (135,224.00)	\$ 136,037.50
40	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #279119	30-Mar-2016			\$ 125.00	\$ 125.00	\$ 125.00	\$ -	\$ 125.00	\$ -
41	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #292345	9-May-2016			\$ 205.61	\$ 205.61	\$ 205.61	\$ 2,760.00	\$ (2,554.39)	\$ 2,760.00
42	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #293767	12-May-2016			\$ 344.47		\$ 344.47	\$ 2,760.00	\$ (2,415.53)	\$ 2,415.53
43	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #297235	24-May-2016			\$ 344,563.50	\$ 144,526.00	\$ 344,563.50	\$ -	\$ 344,563.50	\$ (200,037.50)
44	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #0720A	1-Jun-2013		100%	\$ -		\$ -	\$ 174.65	\$ (174.65)	\$ 174.65
45	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #0673A	1-May-2013		100%	\$ 2,760.00		\$ 2,760.00	\$ 249.61	\$ 2,510.39	\$ (2,510.39)
46	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #0680X	1-May-2013		100%	\$ 2,760.00		\$ 2,760.00	\$ 47.41	\$ 2,712.59	\$ (2,712.59)
47	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #0632A	1-Apr-2013		100%	\$ 3,074.88		\$ 3,074.88	\$ 2,760.56	\$ 314.32	\$ (314.32)
48	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #29250	1-Oct-2013		100%	\$ 174.65		\$ 174.65	\$ 1,573.93	\$ (1,399.28)	\$ 1,399.28
49	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #32814	7-Nov-2013		100%	\$ 249.61		\$ 249.61	\$ 64,000.00	\$ (63,750.39)	\$ 63,750.39
50	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #45436	13-Jan-2014	2/13/2014	100%	\$ 47.41		\$ 47.41	\$ 608.40	\$ (560.99)	\$ 560.99
51	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #7747	6-Aug-2013		100%	\$ 2,760.56		\$ 2,760.56	\$ 191.63	\$ 2,568.93	#VALUE!
52	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #31840	1-Nov-2013		100%	\$ 1,573.93		\$ 1,573.93	\$ 1,445.40	\$ 128.53	\$ (128.53)
53	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #118390	15-Sep-2014		100%	\$ 64,000.00		\$ 64,000.00	\$ 6,296.25	\$ 57,703.75	\$ (57,703.75)
54	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #142187	6-Dec-2014		100%	\$ 608.40		\$ 608.40	\$ 4,627.44	\$ (4,019.04)	\$ 4,019.04
55	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #7747	6-Aug-2013		100%	\$ 191.63		\$ 191.63		\$ 191.63	\$ (191.63)
56	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #31840	1-Nov-2013		100%	\$ 1,445.40		\$ 1,445.40		\$ 1,445.40	

EXHIBIT A: ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION

57	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #118390	15-Sep-2014		100%	\$ 6,296.25		\$ 6,296.25		\$ 6,296.25	
58	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #142187	6-Dec-2014		100%	\$ 4,627.44		\$ 4,627.44		\$ 4,627.44	
	JH KELLY - SICE (Non-DBE sub)										
59	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #49)	Electrical contractor	28-Jan-2014		100%	\$ 270,800.00		\$ 270,800.00	\$ 256,500.00	\$ 14,300.00	\$ (14,300.00)
60	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #52)	Electrical contractor	1-May-2015		100%	\$ 265,078.97	\$ 56,400.32	\$ 265,078.97	\$ 173,188.65	\$ 91,890.32	\$ (35,490.00)
	NCM (Non-DBE sub)										
61	OMA (LT to NCM) (Added for #38)	Demo, Trucking Secants, Wall Openings and Cradle	25-Mar-2014	3/26/2014	96%	\$ 206,032.50		\$ 206,032.50	\$ 193,452.50	\$ 12,580.00	\$ (12,580.00)
62	Mar-Vac / Marine Vacuum Service Inc. (LT to NCM) (Added on #42)	Vac-truck services	26-Jun-2014	Active	100%	\$ 39,108.76	\$ 5,837.82	\$ 39,108.76	\$ 33,270.94	\$ 5,837.82	\$ -
	PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)										
63	AAR Testing Laboratories Inc (LT to PSI)	Concrete testing and laboratory testing	19-Oct-2015		#DIV/0!	\$ 10,663.50	\$ 2,986.50	\$ 10,663.50	\$ 5,895.00	\$ 4,768.50	\$ (1,782.00)
	SOLDATA - KPG (Non-DBE sub)										
64	Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	1-Apr-2012	Active	100%	\$ 1,172,331.91		\$ 1,129,619.40	\$ 1,035,671.36	\$ 93,948.04	\$ (93,948.04)
	US Electric (Non-DBE sub)										
65	Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	24-Jan-2016		100%	\$ 2,756,636.68	\$ 302,965.90	\$ 2,410,826.47	\$ 1,232,344.81	\$ 1,178,481.66	\$ (875,515.76)
	VANDERLIP & COMPANY, INC. (Non-DBE sub)										
66	Birch Equipment (LT to Vanderlip & Company, Inc.) (Added on #66)	Equipment Rental	7-Dec-2015		100%	\$ 16,330.40		\$ 10,740.80		\$ 10,740.80	
						\$ 108,975,383.56	\$ 889,860.81	\$ 107,480,157.99	\$ 103,579,817.33	\$ 3,900,340.66	#VALUE!

EXHIBIT A: ATTACHMENT # 5
DBE AMOUNT (AS AMENDED) VALIDATION

Count	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Subcontract Start Date	Subcontract End Date	% of work completed	#68 Total Payments to Date	#68 DBE Amount (as Amended)	#65 DBE Amount (as Amended)	#68 - #65 DBE Amount (as Amended)
	FIRST TIER								
1	Catherine Bassetti	Progress photography	5-Mar-2012	Active	100%	\$ 130,045.14	\$ 130,045.14	\$ 122,053.64	\$ 7,991.50
2	CivilTech Engineering	Design & Consulting	31-Jul-2012	Active	100%	\$ 594,706.03	\$ 594,706.03	\$ 588,688.53	\$ 6,017.50
3	DHD Trucking	Street Sweeping	10-Jan-2012		100%	\$ 1,352,390.98	\$ 1,352,390.98	\$ 1,325,044.90	\$ 27,346.08
4	Global Geophysics	Vibration Monitoring	21-May-2012	Active	100%	\$ 1,352,499.77	\$ 1,352,499.77	\$ 1,192,096.52	\$ 160,403.25
5	Greylock Consulting LLC	Environmental Consulting Services	1-Oct-2011	Active	100%	\$ 296,455.26	\$ 165,188.43	\$ 163,832.26	\$ 1,356.17
6	J. Keiser & Associates	DBE Coordinator - Consulting	30-Apr-2014	Active	100%	\$ 218,521.20	\$ 187,250.00	\$ 162,550.00	\$ 24,700.00
7	Meeds Environmental	Filter Press	2-Apr-2015		100%	\$ 68,500.00	\$ 68,500.00	\$ 63,020.00	\$ 5,480.00
8	MindSeekers, LLC	Temporary Staffing	27-Feb-2013	Active	99%	\$ 507,927.41	\$ 507,927.41	\$ 470,330.88	\$ 37,596.53
9	Oatridge Security Group Inc	Security Guard Services	10-Oct-2011	Active	100%	\$ 7,323,774.50	\$ 7,310,280.50	\$ 6,661,347.00	\$ 648,933.50
10	OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	25-Sep-2012	Active	100%	\$ 19,860,425.46	\$ 16,570,158.11	\$ 16,320,002.61	\$ 250,155.50
11	Buckley's Hauling (LT to OMA)	Trucking	20-May-2016				\$ 2,340.00	\$ 1,170.00	\$ 1,170.00
12	JMR Trucking (LT to OMA)	Trucking	1-Dec-2012	Active	100%		\$ 7,182.50	\$ 5,200.00	\$ 1,982.50
13	Maks Dump Truck (LT to OMA)	Trucking	1-Dec-2012	Active	100%		\$ 282,420.73	\$ 279,853.23	\$ 2,567.50
14	Tan Trucking (LT to OMA)	Trucking					\$ 22,792.50	\$ 17,787.50	\$ 5,005.00
15	Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	Active	100%	\$ 1,098,327.81	\$ 944,723.58	\$ 887,083.08	\$ 57,640.50
16	Osiris Enterprises LLC	Janitorial Services	12-Jan-2012	Active	100%	\$ 480,059.50	\$ 267,270.96	\$ 254,561.96	\$ 12,709.00
17	Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	6-Apr-2012	Active	100%		\$ 212,788.54	\$ 193,530.54	\$ 19,258.00
18	PRR, Inc.	Subconsultant - Public Information	22-Feb-2011	Active	100%	\$ 1,006,168.49	\$ 999,772.81	\$ 959,104.81	\$ 40,668.00
19	Savage Logistics, LLC	Freight	28-Mar-2013	Active	99%	\$ 1,185,467.00	\$ 1,185,467.00	\$ 1,107,505.50	\$ 77,961.50
20	Transcon Company LLC	Underground Electrical Duct Bank Work	12-Dec-2013	Active	100%	\$ 2,393,752.18	\$ 2,301,522.19	\$ 2,006,706.13	\$ 294,816.06
21	United Professional Caulking	Harrison Street Expansion Joints	12-Aug-2014		100%	\$ 79,250.00	\$ 79,250.00	\$ 75,287.50	\$ 3,962.50
	VENDORS								\$ -
22	Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	NA	Active	90%	\$ 475,844.36	\$ 285,506.62	\$ 267,370.73	\$ 18,135.89
23	Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	NA	Active	100%	\$ 68,917.04	\$ 41,159.79	\$ 40,712.52	\$ 447.27
24	Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	NA	Active	99%	\$ 425,097.17	\$ 255,058.30	\$ 253,866.28	\$ 1,192.02
25	Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	NA	Active	100%	\$ 8,515.39	\$ 5,109.23	\$ 4,696.01	\$ 413.22
26	United Reprographics	Business cards & printing services	12-Jan-2015	Active	93%	\$ 17,516.35	\$ 17,516.35	\$ 12,217.40	\$ 5,298.95
	LOWER TIER								
	BASF - KTI Logistics (Non-DBE sub)								
27	Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	Freight	17-Jun-2013	Active	90%	\$ 26,289.00	\$ 26,289.00	\$ 22,509.00	\$ 3,780.00
	CROWN CORR (Non-DBE sub)								
28	Birch Equipment (LT to Crown Corr)	Rental Equipment - Boom, Scissorlift	23-Nov-2015		0%	\$ 65,254.25	\$ 39,152.55	\$ 28,646.66	\$ 10,505.89
29	Red Arrow Logistics (LT to Crown Corr)	Freight	1-Oct-2015		24%	\$ 91,796.70	\$ 16,523.41	\$ 15,340.36	\$ 1,183.05
	FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)								
30	Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	23-Jul-2013		100%	\$ 3,208,462.07	\$ 3,208,462.07	\$ 1,858,316.67	\$ 1,350,145.40
	Hitachi Zosen USA Ltd (added on #47)								
31	CivilTech Engineering (LT to Hitachi)	Ground Condition Evaluation	6-Aug-2014		100%	\$ 127,804.00	\$ 77,318.17	\$ 35,668.16	\$ 41,650.01
	HNTB (Non-DBE sub)								
32	CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	25-Aug-2011	Active	100%	\$ 937,831.42	\$ 937,831.42	\$ 931,564.98	\$ 6,266.44
33	Convergent Pacific (LT to HNTB)	Structural Engineering	28-Oct-2011	1/14/2013	100%	\$ 176,417.44	\$ 176,417.44	\$ 176,363.27	\$ 54.17

EXHIBIT A: ATTACHMENT # 5
DBE AMOUNT (AS AMENDED) VALIDATION

34	Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	6-Jan-2012	Active	100%	\$ 1,053,181.54	\$ 1,053,181.54	\$ 1,037,887.89	\$ 15,293.65
35	Oneill Service Group (Added on #50)	Matirals Approval Engineer	30-Apr-2015		80%	\$ 188,655.75	\$ 188,655.75	\$ 157,277.25	\$ 31,378.50
36	Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	1-May-2011	Active	100%	\$ 431,931.45	\$ 428,468.95	\$ 419,250.88	\$ 9,218.07
37	Schemata Workshop (LT to HNTB)	Architectural Specifications	16-Apr-2012	1/14/2013	100%	\$ 186,757.53	\$ 186,757.53	\$ 186,383.93	\$ 373.60
38	Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	26-Sep-2011	1/14/2013	100%	\$ 117,524.00	\$ 117,524.00	\$ 114,530.10	\$ 2,993.90
	Holocene Drilling Inc (Non-DBE sub)								
39	Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	20-Jul-2012	Active	100%	\$ 128,589.62	\$ 128,589.62	\$ 123,517.12	\$ 5,072.50
	JH KELLY (Non-DBE sub)								
40	Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	8-Apr-2013	12/29/2015	100%	\$ 661,609.98	\$ 553,734.98	\$ 477,718.98	\$ 76,016.00
	JH KELLY - FISK ELECTRIC (Non-DBE sub)								
41	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	20-Apr-2013		100%	\$ 5,683,961.88	\$ 3,410,377.13	\$ 3,409,545.00	\$ 832.13
42	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	10-Jul-2013		100%	\$ 5,203,958.89	\$ 3,122,375.33	\$ 3,040,689.20	\$ 81,686.13
43	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #256256	8-Feb-2016			\$ 77.23	\$ 46.34	\$ 182.68	\$ (136.34)
44	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #270234	25-Feb-2016			\$ 813.50	\$ 488.10	\$ 81,622.50	\$ (81,134.40)
45	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #279119	30-Mar-2016			\$ 125.00	\$ 75.00	\$ -	\$ 75.00
46	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #292345	9-May-2016			\$ 205.61	\$ 123.37	\$ 1,656.00	\$ (1,532.63)
47	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #293767	12-May-2016			\$ 344.47	\$ 182.68	\$ 1,656.00	\$ (1,473.32)
48	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #297235	24-May-2016			\$ 344,563.50	\$ 206,738.10	\$ -	\$ 206,738.10
49	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #0720A	1-Jun-2013		100%	\$ -	\$ -	\$ 104.79	\$ (104.79)
50	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #0673A	1-May-2013		100%	\$ 2,760.00	\$ 1,656.00	\$ 149.77	\$ 1,506.23
51	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #0680X	1-May-2013		100%	\$ 2,760.00	\$ 1,656.00	\$ 28.45	\$ 1,627.55
52	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #0632A	1-Apr-2013		100%	\$ 3,074.88	\$ 1,844.93	\$ 1,656.34	\$ 188.59
53	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) added	Electrical Supply #29250	1-Oct-2013		100%	\$ 174.65	\$ 104.79	\$ 944.36	\$ (839.57)
54	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #32814	7-Nov-2013		100%	\$ 249.61	\$ 149.77	\$ 38,400.00	\$ (38,250.23)
55	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #45436	13-Jan-2014	2/13/2014	100%	\$ 47.41	\$ 28.45	\$ 365.04	\$ (336.59)
56	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #7747	6-Aug-2013		100%	\$ 2,760.56	\$ 1,656.34	\$ 114.98	\$ 1,541.36
57	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #31840	1-Nov-2013		100%	\$ 1,573.93	\$ 944.36	\$ 867.24	\$ 77.12

EXHIBIT A: ATTACHMENT # 5
DBE AMOUNT (AS AMENDED) VALIDATION

58	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #118390	15-Sep-2014		100%	\$ 64,000.00	\$ 38,400.00	\$ 3,777.75	\$ 34,622.25
59	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #142187	6-Dec-2014		100%	\$ 608.40	\$ 365.04	\$ 2,776.46	\$ (2,411.42)
60	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #7747	6-Aug-2013		100%	\$ 191.63	\$ 114.98		\$ 114.98
61	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #31840	1-Nov-2013		100%	\$ 1,445.40	\$ 867.24		\$ 867.24
62	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #118390	15-Sep-2014		100%	\$ 6,296.25	\$ 3,777.75		\$ 3,777.75
63	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #142187	6-Dec-2014		100%	\$ 4,627.44	\$ 2,776.46		\$ 2,776.46
	JH KELLY - SICE (Non-DBE sub)								
64	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #49)	Electrical contractor	28-Jan-2014		100%	\$ 270,800.00	\$ 270,800.00	\$ 256,500.00	\$ 14,300.00
65	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #52)	Electrical contractor	1-May-2015		100%	\$ 265,078.97	\$ 265,078.97	\$ 173,188.65	\$ 91,890.32
	NCM (Non-DBE sub)								
66	OMA (LT to NCM) (Added for #38)	Demo, Trucking Secants, Wall Openings and Cradle	25-Mar-2014	3/26/2014	96%	\$ 206,032.50	\$ 89,001.25	\$ 76,421.25	\$ 12,580.00
	PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)								
67	AAR Testing Laboratories Inc (LT to PSI)	Concrete testing and laboratory testing	19-Oct-2015		#DIV/0!	\$ 10,663.50	\$ 10,663.50	\$ 5,895.00	\$ 4,768.50
	SOLDATA - KPG (Non-DBE sub)								
68	Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	1-Apr-2012	Active	100%	\$ 1,129,619.40	\$ 1,129,619.40	\$ 1,035,671.36	\$ 93,948.04
	US Electric (Non-DBE sub)								
69	Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	24-Jan-2016		100%	\$ 2,410,826.47	\$ 2,410,826.47	\$ 1,232,344.81	\$ 1,178,481.66
	VANDERLIP & COMPANY, INC. (Non-DBE sub)								
70	Birch Equipment (LT to Vanderlip & Company, Inc.) (Added on #66)	Equipment Rental	7-Dec-2015		100%	\$ 10,740.80	\$ 6,444.48		\$ 6,444.48
						\$ 107,480,157.99	\$ 87,308,139.63	\$ 82,428,375.92	\$ 4,879,763.72

Exhibit B

SR 99 Bored Tunnel Design-Build Contract

Validation of STP DBE Progress Report #68



Memorandum

December 8, 2016

TO: Bobby Forch, DBE Administrator AWW *BFF*

FROM: Dawn McIntosh, P.E. *DMS*

SUBJECT: Validation STP Monthly DBE Progress Report No. 68

Review of STP Monthly DBE Progress Report No. 68 (Report No. 68) began 12/1/2016 and was completed on 12/8/2016 (See Attachment 1).

- DBE Contractual Requirement (Change Order 91)= \$96,000,000
- DBE Goal= \$96,903,280.09 (8% of total compensation payable, including change orders)
- Commitments= \$117,962,590.55
- Total Payments= \$107,480,157.99
- DBE Participation= \$87,308,139.63

STP reported 109 subcontractors with 211 subcontracts. Comparing Report No. 68 to Report No. 67 resulted in changes as follows:

- 22 Commitment changes totaling \$753,646.01 increase (Attachment 2)
- 23 Invoiced to Date changes totaling \$863,401.94 increase (Attachment 3)
- 34 Payments to Date changes totaling \$889,861.42 increase (Attachment 4)
- 39 DBE Amount (as Amended) changes totaling \$1,064,476.02 increase (Attachment 5)

The validation process focused on review of those subcontracts that resulted in the changes noted above. The DBE Validation Binders for Monthly DBE Report No. 68 (October 2016) were used to verify the information. However, when information was limited in the binders, records were validated using subcontractor files located in the STP office.

Commitment comparisons resulted in favorable remarks as reported by STP and logged on Attachment 2. All Commitments were validated, as shown on Attachment 2.

Invoiced to Date comparisons resulted in favorable remarks as reported by STP and logged on Attachment 3. All Invoices were validated, as shown on Attachment 3.

Payments for subcontracts reported by STP resulted in favorable remarks. All payments were validated, as shown on Attachment 4.

DBE Amount (as Amended) comparisons all resulted in favorable remarks as reported by STP and logged on Attachment 5. All payments to date were equal to or more than DBE Amount (as Amended) and all DBE Amounts (as Amended) were validated.

STP reported an increase of \$283,562.00 in DBE participation for trucking. This increase in trucking was associated with work performed by Savage Logistics, LLC, OMA Construction Inc., Buckley's Hauling, JMR Trucking, Mak's Dump Truck and Tan Trucking. The trucking records were verified following the verification process, as approved by FHWA.

No new DBE subcontractors were added under this Report. No existing DBE subcontractors received new work under this report.

The current status of the DBE Subcontracts, regarding start dates, end dates, and retainage release dates may be found in Attachment #6.

A review of payroll reports showed the total hours reported on verified Certified Payrolls were 6,455.5 hours. This was 258.75 hours less than the 6,714.25 hours reported by the contractor. Over-reporting of hours were primarily 406 hours by Sundance and 32 hours by Savage Logistics. These hours were somewhat offset by under-reporting of 95 hours by OMA Construction and 89.5 hours by Steelkorr. This over and under-reporting can be attributed to the reporting cycle that subcontractors utilize, which does not correspond to the calendar month used in Certified Payroll. The breakdown, by skill craft, may be found in Attachment #7: DBE Labor Hours Validation

Conclusion:

STP's Report No. 68 is acceptable.

The STP Binder for Report No. 68 was helpful for validating the invoiced and payment information, as well as a good portion of the commitments. However, in order to verify several commitment amounts it was necessary to review the STP files in order to complete validation documents.

Attachments:

1. STP DBE Progress Report No. 68
2. DBE Commitment Validation
3. DBE Invoiced to Date Validation
4. DBE Total Payments Validation
5. DBE Amount (as amended) Validation
6. Status of DBE Subcontracts
7. DBE Certified Payroll Hours Verification



Attachment #1

Seattle Tunnel Partners
999 Third Avenue, Suite 2424
Seattle, WA 98104

WSDOT Contract No. 007999
Federal Aid No. BR-NH-STP-STPF-0099(111)
SR 99 Tunnel Project
Phone: 206-971-8701 Fax: 206-971-8702

Seattle Tunnel Partners

SR 99 Tunnel Project

Monthly DBE Progress Report No. 68

Progress Report Period: October 1, 2016 – October 31, 2016

Submission Date: Tuesday, December 06, 2016

STP remains on target to achieve the DBE goal.

DBE participation progress

Change Order 91 – Contractual Requirement:	\$96,000,000.00
DBE Goal:	\$96,903,280.09
Current DBE commitments:	\$117,962,590.55
DBE payments:	\$107,480,157.99
DBE participation:	\$87,308,139.63
DBE Contracts:	211
DBE Firms:	109

Please see attached STP Form DBE09 for further details.

Current DBE Efforts

Opportunities

STP submitted our quarterly DBE action plan on July 29, 2016. The plan provided anticipated upcoming contracting opportunities where STP will work to maximize DBE participation. Remaining contracting opportunities are broken down by scope, estimated dollar value, and time frame the work is anticipated to be available.

STP September RFPs/RFOs

STP discusses upcoming bids with WSDOT, OMWBE, and independent DBE Program Coordinator at bi-weekly STP Subcontracting Oversight Committees. At these meetings STP provides additional details and requests feedback from participants and incorporates suggestions. STP did not send out any RFP's for the month of October 2016:

October Outreach

October 6, 2016, National Association of Minority Contractors of Washington (NAMC WA)

STP attended the NAMC meeting, and networked with minority and women owned businesses.

October 11, 2016, WSDOT Speed Networking

STP attended the WSDOT Speed Networking and provided one on one networking with minority and women owned businesses.

October 21, 2016, Washington Construction Design & Entrepreneurs (CDE)

STP attended the CDE meeting and network with minority and women owned businesses.

October 29, 2016, Tabor 100 General Membership Meeting

STP attended and provided project updates and upcoming opportunities.

Planned November Outreach*November 3, 2016, National Association of Minority Contractors of Washington (NAMC WA)*

STP attended the NAMC meeting, and networked with minority and women owned businesses.

November 3, 2016, North Puget Sound Small Business Summit

STP had a table and attended the North Puget Sound Small Business Summit and networked with minority and women owned businesses.

November 9, 2016, WSDOT Business After Hours

STP had a table and attended the WSDOT Business After Hours and networked with minority and women owned businesses.

Planned December Outreach*December 1, 2016, UW Business Impact Awards – Foster School of Business*

STP sponsored a table and plans to attend while networking with minority and women owned businesses.

December 16, 2016, Washington Construction Design & Entrepreneurs (CDE)

STP plans to attend the CDE meeting and network with minority and women owned businesses.

Planned January Outreach*January 5, 2017, National Association of Minority Contractors of Washington (NAMC WA)*

STP attended the NAMC meeting, and networked with minority and women owned businesses.

January 20, 2017, Washington Construction Design & Entrepreneurs (CDE)

STP attended the CDE meeting and network with minority and women owned businesses.

January 28, 2017, Tabor 100 General Membership Meeting

STP attended and provided project updates and upcoming opportunities.

Commitments increased as of month ending 10/31/16

* First time reported

Name of DBE Subcontractor * (LT = lower tier)	September 2016 Commitment	October 2016 Commitment	Increase
FIRST TIER			
Catherine Bassetti	\$ 127,645.14	\$ 130,045.14	\$ 2,400.00
DHD Trucking	\$ 1,345,653.54	\$ 1,352,390.98	\$ 6,737.44
Greylock Consulting LLC	\$ 296,455.26	\$ 297,263.31	\$ 808.05
J. Keiser & Associates	\$ 209,821.20	\$ 218,521.20	\$ 8,700.00
Meeds Environmental	\$ 65,760.00	\$ 68,500.00	\$ 2,740.00
MindSeekers, LLC	\$ 504,432.68	\$ 519,660.93	\$ 15,228.25
Oatridge Security Group Inc	\$ 7,161,493.75	\$ 7,323,774.50	\$ 162,280.75
Oneill Service Group	\$ 1,077,586.05	\$ 1,098,327.81	\$ 20,741.76
Osiris Enterprises LLC	\$ 469,750.50	\$ 490,368.50	\$ 20,618.00
PRR, Inc.	\$ 992,612.49	\$ 1,006,168.49	\$ 13,556.00
Savage Logistics, LLC	\$ 1,162,785.50	\$ 1,185,467.00	\$ 22,681.50
VENDORS			
Birch Equipment Rental & Sales, Inc.	\$ 469,520.44	\$ 478,250.08	\$ 8,729.64
Excel Supply Company	\$ 68,779.38	\$ 68,917.04	\$ 137.66
Highway Specialties LLC	\$ 424,023.01	\$ 426,365.38	\$ 2,342.37
United Reprographics	\$ 17,485.71	\$ 17,516.35	\$ 30.64
Oneill Service Group	\$ 200,326.50	\$ 206,354.25	\$ 6,027.75
Saez Consulting Engineers Inc. (LT to HNTB)	\$ 431,931.43	\$ 442,702.43	\$ 10,771.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) #0444A	\$ 5,715,813.43	\$ 5,718,567.11	\$ 2,753.68
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) #0572A	\$ 5,371,151.53	\$ 5,393,269.37	\$ 22,117.84
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM)	\$ 33,233.40	\$ 39,108.76	\$ 5,875.36
AAR Testing Laboratories, Inc. (LT to PSI)	\$ 7,677.00	\$ 10,663.50	\$ 2,986.50
Sundancer Electric (LT to US Electric)	\$ 2,341,254.86	\$ 2,756,636.68	\$ 415,381.82
	\$ 28,495,192.80	\$ 29,248,838.81	\$ 753,646.01

MONTHLY DBE PROGRESS REPORT (From DBE-09)

Contract:
Number: 007999

Contract Title:
AWV SR 99 Bored Tunnel Alternative Design-Build Project

Contract Amount (as amended): 1,211,291,001.17

Prime Contractor:
Seattle Tunnel Partners

DBE Goal: 8%

Through 10/31/16 (all numbers
exclude WSST)
Total payment to Prime to date: 1,258,730,674.12
Total amount Invoiced to date: 1,260,946,981.44
Amount of last payment to Prime: 12,184,602.30

Date of last payment to Prime: 10/28/2016
Total of last payment to Prime: 12,184,602.30
Total value of DBE commitments: 117,962,590.55

Total value of DBE amount (as Amended): \$ 87,308,139.63

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
FIRST TIER	FIRST TIER								
AAR Testing Laboratories, Inc.	#D2F4708231	Testing	19-Dec-2012	100%	\$ 720.00	\$ 720.00	COMPLETE	\$ 720.00	\$ 720.00
Advanced Traffic Control	#D5M0024125	Traffic Control Consulting	4-May-2016	0%					
Advanced Underground Utilities	#D4M0023433	NOB Underground Utilities	12-Sep-2014	100%	\$ 333,395.42	\$ 333,395.42		\$ 316,725.65	\$ 312,458.90
Amaya Electric	#D5M0200177	Mitigation Buildings - Electrical	1-Aug-2013	100%	\$ 24,237.20	\$ 24,237.20	COMPLETE	\$ 24,237.20	\$ 24,237.20
Bear Wood Windows	#D4F0022489	NOB/SOB - Furnish & Install Shades, Kitchen Cabinets	1-Aug-2014	0%	\$ 31,605.00	\$ -		\$ -	\$ -
BESTCCLS INC	#D3M9319663	Survey	8-Feb-2012	100%	\$ 189,938.00	\$ 189,938.00		\$ 189,938.00	\$ 189,938.00
Buckley's Hauling	#D3M0023694	Trucking Roster Rotation	29-Oct-2015	100%	\$ 9,591.25	\$ 9,591.25		\$ 9,591.25	\$ 9,591.25
CZPM	#D4F9620654	Design Quality Assurance Manager	1-Sep-2011	100%	\$ 416,500.00	\$ 416,500.00	COMPLETE	\$ 416,500.00	\$ 416,000.00
Catherine Bassetti	#D2F2313819	Progress photography	5-Mar-2012	100%	\$ 130,045.14	\$ 130,045.14	\$ 2,400.00	\$ 130,045.14	\$ 130,045.14
CivilTech Engineering	#D4M0018825	Design & Consulting	31-Jul-2012	100%	\$ 594,706.03	\$ 594,706.03		\$ 594,706.03	\$ 594,706.03
DBE Electric	#D2F1021272	Signals / Illumination; Electrical/ Bldg. Conduit; Underground Cable	28-Feb-2012	100%	\$ 1,005,698.49	\$ 1,005,698.49		\$ 987,067.07	\$ 641,798.40
DHD Trucking	#D3M9620749	Trucking Roster Rotation	9-Nov-2015	100%	\$ 10,827.50	\$ 10,827.50		\$ 10,827.50	\$ 10,827.50
DHD Trucking	#D3M9620749	Street Sweeping	10-Jan-2012	100%	\$ 1,352,390.98	\$ 1,352,390.98	\$ 8,719.04	\$ 1,352,390.98	\$ 1,352,390.98
Duncan Asphalt	#D2F0022253	MOT-2 SB & SBOFF Paving and CSBC Supply	11-Apr-2014	100%	\$ 62,109.00	\$ 62,109.60		\$ 62,109.60	\$ -
OMA (LT to Duncan)	#D4M2316494	Stockpiling	N/A						\$ 7,897.50
Environmental Management Training	#D3M9820748	Safety Training	3-Jan-2012	100%	\$ 22,345.00	\$ 22,345.00		\$ 22,345.00	\$ 22,345.00
EPC Consultants, Inc.	#D4M0022954	Tunnel Quality Assurance	8-Jul-2013	100%	\$ 1,926,458.37	\$ 1,926,458.37		\$ 1,926,458.37	\$ 1,891,318.37
Exeltech Consulting Inc	#D4M2304220	PreConstruction Condition Survey	4-Aug-2011	100%	\$ 549,645.00	\$ 549,645.00		\$ 540,912.44	\$ 540,912.44
Fryer-Knowles, Inc.	#D2F5010810	Ceramic Tile: North Operations Building and South Operations Building	22-Nov-2013	0%	\$ 226,954.00	\$ -		\$ -	\$ -
Garner Construction	#D2F5108989	24/7 Crane Rental w/ Operator for Access Shaft Excavation	29-Aug-2014	100%	\$ 732,184.83	\$ 732,184.83		\$ 732,184.83	\$ 732,184.83
Global Concrete Cutting	#D3M0022412	Concrete Sawing/Cutting	31-Oct-2013	100%	\$ 1,700.00	\$ 1,700.00		\$ 1,615.00	\$ 1,615.00
Global Geophysics	#D4F0021256	Vibration Monitoring	21-May-2012	100%	\$ 1,352,499.77	\$ 1,352,499.77		\$ 1,352,499.77	\$ 1,352,499.77
Grady Excavating	#D2W0020267	South Portal Trucking	11-Apr-2012	100%	\$ 881,412.65	\$ 881,412.65	COMPLETE	\$ 881,412.65	\$ 724,412.60
Pro USA (LT to Grady)	#D3M9422332	South Portal Trucking	24-Jul-2012	100%			COMPLETE		\$ 50,517.00
Green City Inc.	#D4M0021336	Irrigation/Landscaping NOB/SOB	2-Oct-2015	0%	\$ 278,400.00				
Greylock Consulting LLC	#D2F8019850	Environmental Consulting Services	1-Oct-2011	100%	\$ 297,263.31	\$ 297,263.31	\$ 970.61	\$ 296,455.26	\$ 165,188.43
On-Site Environmental Inc. (LT to Greylock)	#M3M0010381	Environmental Analytical Testing	14-Dec-2011	100%					\$ 71,940.75
AM Test Inc (LT to On-Site)	#D2F0022787	Environmental Analytical Testing	30-Oct-2015	100%					\$ 175.00
J. Harper Contractors Inc.	#D4M5310119	Demolition and Material Crushing	1-Nov-2011	100%	\$ 1,473,764.00	\$ 1,473,764.00		\$ 1,473,764.00	\$ 1,455,382.26

I. Kelsner & Associates	#D5F0023416	DBE Coordinator - Consulting	30-Apr-2014	100%	\$ 218,521.20	\$ 218,521.20	\$ 8,700.00	\$ 218,521.20	\$ 187,250.00
JMR Trucking	#D3M6917511	Trucking Roster Rotation	22-Oct-2015	100%	\$ 10,053.75	\$ 10,053.75		\$ 10,053.75	\$ 10,053.75
JP Francis & Associates, Inc.	#D3M0018112	Mitigation building – plumbing and mechanical	24-Jul-2013	100%	\$ 53,510.86	\$ 53,510.86		\$ 50,835.32	\$ 50,835.32
KBA Inc/ Kristen Betty & Associates Inc.	#D2F4711296	Quality Control Temporary Assistance (File Clerk); Inspection services	5-Dec-2012	100%	\$ 284,310.00	\$ 284,310.00		\$ 284,310.00	\$ 284,310.00
Leajak Concrete Construction Inc	#D3M5310714	Terminal 46 Hide Area BMP Relocation/ Terminal 46 Reefer Racks – Foundation Works	8-May-2012	100%	\$ 739,114.07	\$ 739,114.07	COMPLETE	\$ 739,114.07	\$ -
Global Concrete Cutting (LT to Leajak)	#D3M0022412	Concrete cutting	26-Jun-2012	100%			COMPLETE		\$ -
Kiawa Trucking LLC (LT to Leajak)	#D3M8019933	Trucking	28-Jun-2012	100%			COMPLETE		\$ -
Sunset Welding Inc. (LT to Leajak)	#D5M0018072	Rebar	30-Jun-2012	100%			COMPLETE		\$ -
Lorache CAD/IT Services LLC	#D3M8218532	T-46 - RTG Pavement Strengthening	5-Apr-2012	100%	\$ 439,521.57	\$ 439,521.57		\$ 403,898.05	\$ -
Leajak Concrete Construction Inc (LT to Lorache)	#D3M5310714	Excavating, install crush rock and pour concrete	1-Jul-2012	100%					\$ 42,257.94
Sunset Welding Inc. (Sub to Leajak; 3rd tier under Lorache)	#D5M0018072	Rebar	10-Jul-2012	100%			COMPLETE		\$ 7,800.00
Magnum Crane LLC	#D1M8719508	Crane, Maint, Operator, fuel, riggings	17-Dec-2012	100%	\$ 62,847.55	\$ 62,847.55		\$ 62,847.55	\$ 62,847.55
Mak's Dump Trucking	#D3M0019934	Trucking Roster Rotation	18-Nov-2015	100%	\$ 11,952.50	\$ 11,952.50		\$ 11,952.50	\$ 11,952.50
Marshbank	#D1M0011507	Sewer Relocation	7-Aug-2012	99%	\$ 1,968,580.87	\$ 1,958,627.44		\$ 1,860,697.04	\$ 1,683,429.93
Sundancer (LT to Marshbank)	#D1M0022607	Electrical	15-May-2014						\$ -
ProUSA (LT to Marshbank)	#D3M0022332	Trucking							\$ 942.50
Mar-Vac (Marine Vacuum Service Inc.)	#D1M0002341	Remove Underground Tank near Western Building	24-Oct-2012	100%	\$ 10,802.00	\$ 10,802.00	COMPLETE	\$ 10,802.00	\$ 10,802.00
Mar-Vac (Marine Vacuum Service Inc.)	#D1M0002341	Vac-truck services	18-Jul-2012	100%	\$ 190,637.86	\$ 190,637.86		\$ 182,436.07	\$ 182,436.07
Meeds Environmental	#D3M0021813	Filter Press	2-Apr-2015	100%	\$ 68,500.00	\$ 68,500.00	\$ 2,740.00	\$ 68,500.00	\$ 68,500.00
Michaelis Trucking & Excavating	#D3F0021632	Trucking Roster Rotation	30-Nov-2015	100%	\$ 10,317.50	\$ 10,317.50		\$ 10,317.50	\$ 10,317.50
MindSeekers, LLC	#D3M6917745	Temporary Staffing	27-Feb-2013	100%	\$ 519,660.93	\$ 519,660.93	\$ 10,853.38	\$ 507,927.41	\$ 507,927.41
Neppel Electrical	#D5M0017938	Harrison Street Hangers and Conduit	31-Jul-2014	100%	\$ 473,613.72	\$ 473,613.72		\$ 449,933.02	\$ 449,933.02
Nolan Morrison	#D2F5522304	Document Control Consulting Svcs.	19-Sep-2011	100%	\$ 39,800.00	\$ 39,800.00	COMPLETE	\$ 39,800.00	\$ -
Oatridge Security Group Inc	#D5M8421939	Security Guard Services	10-Oct-2011	100%	\$ 7,323,774.50	\$ 7,323,774.50	\$ 162,280.75	\$ 7,323,774.50	\$ 7,310,280.50
OMA Construction Inc.	#D4M2316494	Trucking Roster Rotation	23-Oct-2015	100%	\$ 14,720.00	\$ 14,720.00		\$ 14,720.00	\$ 14,720.00
OMA Construction Inc.	#D4M2316494	North & South Portal Hauling; Trucking hourly	25-Sep-2012	100%	\$ 19,864,907.02	\$ 19,860,425.46		\$ 19,860,425.46	\$ 16,570,158.11
Ball & Son Excavation (LT to OMA)	#D3M0022750	Trucking	3-Jul-2013	100%					\$ 7,507.50
Buckley's Hauling (LT to OMA)	#D3M0023694	Trucking	20-May-2016	100%					\$ 1,340.00
C & E Transport Corp (LT to OMA)	#D3M0022750	Trucking	18-Nov-2013	100%					\$ 21,834.68
JMR Trucking (LT to OMA)	#D3M6917511	Trucking	1-Dec-2012	100%					\$ 7,182.50
Mak's Dump Truck (LT to OMA)	#D3M0019934	Trucking	1-Dec-2012	100%					\$ 282,420.73
Ponglia Trucking Inc. (LT to OMA)	#D4M0019913	Trucking	17-Jan-2013	100%					\$ 242,431.25
Puget Sound Utilities (LT to OMA)	#D2F0022597	Trucking	17-Jul-2013	100%					\$ 12,622.50
SSB Trucking & Construction (LT to OMA)	#D4M1020033	Trucking	26-Jun-2013	100%					\$ 1,040.00
Tan Trucking (LT to OMA)	#D3M0020597	Trucking		100%					\$ 22,792.50
Trinity Development (LT to OMA)	#D3M7217852	Trucking	17-Oct-2012	100%					\$ 217,977.69
OMA Construction Inc.	#D4M2316494	Safe Haven No. 003 - Utility Work	11-Jan-2013	25%	\$ 300,000.00	\$ 73,603.70		\$ 73,603.70	\$ -
Oneill Service Group	#D5M9820775	Environmental Inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	100%	\$ 1,098,327.81	\$ 1,098,327.81	\$ 20,741.76	\$ 1,098,327.81	\$ 944,723.58
Osiris Enterprises LLC	#D3M1021155	Janitorial Services	12-Jan-2012	100%	\$ 490,368.50	\$ 490,368.50	\$ 10,309.00	\$ 480,059.50	\$ 267,270.96
Rose Super Clean and Park Management (LT to Osiris)	#D3M0020597	Janitorial Services	6-Apr-2012	100%					\$ 212,788.54

Pongilla Trucking	#D4M0019913	Trucking Roster Rotation	30-Nov-2015						
Pro USA LLC	#D3M0022332	Trucking Roster Rotation	23-Oct-2015						
PRR, Inc.	#D4F3908454	Subconsultant - Public Information	22-Feb-2011	100%	\$ 1,006,168.49	\$ 1,006,168.49	\$ 13,556.00	\$ 1,006,168.49	\$ 999,772.81
Quality Woodworking	#D3M0016768	Wood Benches NOB	2-Jun-2015	12%	\$ 61,534.00	\$ 7,641.00		\$ 7,258.95	\$ 7,258.95
Road Runner Transportation	#D3M0020684	Trucking Roster Rotation	30-Nov-2015	100%	\$ 7,380.00	\$ 7,380.00		\$ 7,380.00	\$ 7,380.00
Saez Consulting Engineers Inc. (dba SCE, Inc.)	#D5M0019808	Utility Monitoring Professional Services	31-Aug-2012	100%	\$ 174,926.15	\$ 174,926.15		\$ 174,926.15	\$ 174,926.15
Savage Logistics, LLC	#D2F8020092	Freight	28-Mar-2013	100%	\$ 1,185,467.00	\$ 1,185,467.00	\$ 22,681.50	\$ 1,185,467.00	\$ 1,185,467.00
Sea Tac Sweeping Service (dba Al Vinson)	#D3M6015063	Street Sweeping	5-Dec-2011	100%	\$ 8,360.00	\$ 8,360.00	COMPLETE	\$ 8,360.00	\$ 8,360.00
ST Fabrication/ Jabez	#D4M0018443	T-46 Crane Maintenance Facility	5-Jun-2012	100%	\$ 1,858,973.12	\$ 1,858,973.12		\$ 1,848,973.12	\$ -
Adept Mechanical (LT to ST Fabrication)	#D3M0018541	Mechanical	25-Sep-2012	100%					\$ -
Emerald City Weatherproofers (LT to ST Fabrication)	#D4M5111591	Weather Barrier Waterproofing	1-Oct-2012	100%			COMPLETE		\$ 33,703.35
Gibson Door & Millwork (LT to ST Fabrication)	#D2F5112317	Door and Hardware Installation	17-Sep-2012	100%			COMPLETE		\$ 10,452.00
ST Fabrication/ Jabez	#D4M0018443	T-46 Reefer Racks	30-May-2012	100%	\$ 1,949,279.54	\$ 1,946,410.88		\$ 1,946,410.88	\$ 1,439,053.52
Steellkorr	#D4M8822652	Furnish and install all structural steel	31-Oct-2013	89%	\$ 8,039,200.62	\$ 7,142,784.63		\$ 6,698,829.46	\$ 6,499,965.82
Sundancer Electric Inc.	#D1M8522607	Tunnel Electrical	17-Jan-2013	100%	\$ 4,601,810.64	\$ 4,601,810.64		\$ 4,601,810.64	\$ -
Tan Trucking	#D3M0020597	Trucking Roster Rotation	6-Nov-2015	100%	\$ 11,310.00	\$ 11,310.00		\$ 11,310.00	\$ 11,310.00
Transcon Company LLC	#D4M1022071	Underground Electrical Duct Bank Work	12-Dec-2013	99%	\$ 2,410,208.85	\$ 2,393,752.18		\$ 2,393,752.18	\$ 2,301,522.19
Trinity Development	#D3M7217852	Trucking	4-Dec-2015	100%	\$ 9,185.00	\$ 9,185.00		\$ 9,185.00	\$ 9,185.00
TripleNet Technologies	#D5M0018439	STP Website	9-Jan-2012	100%	\$ 7,762.00	\$ 7,762.00	COMPLETE	\$ 7,762.00	\$ 7,762.00
United Professional Caulking	#D5F0016236	Harrison Street Expansion Joints	12-Aug-2014	100%	\$ 79,250.00	\$ 79,250.00	\$ 3,962.50	\$ 79,250.00	\$ 79,250.00
United Professional Caulking	#D5F0016236	Sealants & Expansion Joints	3-Mar-2016	5%	\$ 3,682,039.00	\$ 174,343.50		\$ 165,626.31	\$ 165,626.31
Washington State Trucking	#D3M0021431	Haul and dispose of 130 tunnel segments	12-Aug-2014	100%	\$ 184,470.00	\$ 184,470.00		\$ 184,331.46	\$ -
West Coast Printing	#D4M0100930	Business Cards	NA	100%	\$ 19,402.45	\$ 19,402.45		\$ 19,402.45	\$ 19,402.45
Yonemitsu Geological Services	#D4M0013447	Soil conditioning mapping at access shaft	4-Dec-2014	100%	\$ 13,600.96	\$ 13,600.96		\$ 13,600.96	\$ 12,830.96
Teknograf (LT to Yonemitsu Geological)	#D2F0020449	Drafting Services	22-Apr-2015						\$ 770.00
VENDORS	VENDORS								
Birch Equipment Rental & Sales, Inc.	#D2F0022284	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	NA	100%	\$ 478,250.08	\$ 478,250.08	\$ 11,649.16	\$ 475,844.36	\$ 285,506.62
Excel Supply Company	#D4F2312899	Safety Supplies, Janitorial supplies, jackets & hats	NA	100%	\$ 68,917.04	\$ 68,917.04	\$ 137.66	\$ 68,917.04	\$ 41,159.79
Highway Specialties LLC	#D2F0019389	Construction Signage, Traffic Drums, lights, safety, TMAs	NA	100%	\$ 426,365.38	\$ 426,365.38	\$ 1,074.08	\$ 425,097.17	\$ 255,058.30
Ideal Commercial Uniforms	#D5F0023534	STP Personalized item	4-Dec-2015		\$ 5,262.99	\$ 5,262.99		\$ 5,262.99	\$ 5,262.99
Mobile Electrical Distributors	#D2F0017012	Electrical Supply	25-Nov-2014	100%	\$ 157,391.55	\$ 157,391.55		\$ 157,391.55	\$ 94,434.93
Quality Discount Supplies & Services (John W. Quarles)	#D3M0700021	Office Supplies	NA	100%	\$ 8,515.39	\$ 8,515.39		\$ 8,515.39	\$ 5,109.23
ST Fabrication/ Jabez	#D4M0018443	Fabrication & Supply	NA	100%	\$ 2,615,770.99	\$ 2,615,770.99		\$ 2,615,770.99	\$ 2,126,412.23
The Bag Lady	#D2F0016394	Construction Entrance Plates & Straw bales	NA	100%	\$ 295,276.24	\$ 295,276.24		\$ 295,276.64	\$ 175,959.06
United Reprographics	#D3M0017126	Business cards & printing services	12-Jan-2015	100%	\$ 17,516.35	\$ 17,516.35	\$ 30.64	\$ 17,516.35	\$ 17,516.35
Wayron	#D6F0021571	Fabrication	9-Jan-2012	100%	\$ 2,718,990.29	\$ 2,718,990.29		\$ 2,718,990.29	\$ 2,717,440.29
LOWER TIER									
AMEC (Non-DBE sub)	AMEC								
NVL Laboratories (LT to AMEC)	#D4M5014199	Asbestos Analysis, sampling	12-Dec-2011	100%	\$ 16,255.02	\$ 16,255.02		\$ 16,255.02	\$ 16,255.02
BASF (Non-DBE sub) - EASI	BASF								

JP Francis & Associates (LT to BASF, 3rd tier under EASI)	#D3M0018112	Maintenance, Repairs & Modifications on Soil Conditioning Blending, Pump Unit.	6-Aug-2013	100%	\$ 2,418.13	\$ 2,418.13	\$ 2,418.13	\$ -
BASF - KTI Logistics (Non-DBE sub)	BASF							
Savage Logistics, LLC (LT to KTI Logistics, 3rd tier under BASF)	#D2F8020092	Freight	17-Jun-2013	100%	\$ 29,529.00	\$ 29,529.00	\$ 26,289.00	\$ 26,289.00
CBE Strategic	CBE Strategic							
LK Media (LT to CBE Strategic)	#D3F0024189	Consulting Services	1-Feb-2016	100%	\$ 6,184.00	\$ 6,184.00	\$ 5,504.00	\$ 5,504.00
CROWN CORR	CROWN CORR							
Birch Equipment (LT to Crown Corr)	#D2F0022284	Rental Equipment Boom, Scissorlift	23-Nov-2015	100%	\$ 65,254.25	\$ 65,254.25	\$ 65,254.25	\$ 39,152.55
MBI Construction (LT to Crown Corr)	#D3M0023698	Supply/Fabricate 88 Sheets	17-Sep-2015	100%	\$ 44,793.00	\$ 44,793.00	\$ 44,793.20	\$ 44,793.20
Red Arrow Logistics (LT to Crown Corr)	#D2F0018606	Logistics calling out freight	1-Oct-2015	100%	\$ 91,796.70	\$ 91,796.70	\$ 91,796.70	\$ 16,523.41
FD THOMAS (Non-DBE sub)	FD THOMAS							
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	#D2F4817643	Waterproofing Material Supplier	4-Sep-2013	100%	\$ 912,918.60	\$ 912,918.60	\$ 912,918.60	\$ 547,751.16
Frank Coluccio Construction Company (FCCC) (Non-DBE)								
CivilTech Engineering (LT to FCCC)	#D4M0018825	Design services	1-Sep-2011	100%	\$ 92,527.50	\$ 92,527.50	\$ 92,527.50	\$ 92,527.50
DBE Electric (LT to FCCC)	#D2F0021272	Electrical MOT Ph. 1A.2	3-Dec-2011	100%	\$ 172,944.68	\$ 172,944.68	\$ 172,944.68	\$ 122,485.04
Grady Excavating, Inc. (LT to FCCC)	#D2W0020267	Trucking	3-Dec-2011	100%	\$ 47,229.49	\$ 47,229.49	\$ 47,229.49	\$ 47,229.49
Rebar International Inc. (LT to FCCC)	#D2F2606440	Pile caps at micro pile; Supply and Install reinforcing steel	23-May-2012	100%	\$ 28,415.44	\$ 28,415.44	\$ 28,415.44	\$ 28,415.44
Transcon (LT to FCCC)	#D4M1022071	Install electrical conduit	12-Jan-2012	100%	\$ 203,495.69	\$ 203,495.69	\$ 203,495.69	\$ 203,495.69
Frank Coluccio Construction Company - FD Thomas (N	FCCC - FD Thomas							
AAR Testing Laboratories, Inc. (LT to FD Thomas, 3rd tier under FCCC)	#D2F4708231	Laboratory Testing	1-Aug-2012	100%	\$ 19,586.50	\$ 19,586.50	\$ 19,586.50	\$ 19,586.50
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE)	FPS ENCON PRECAST, LLC							
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast tunnel liner segments. One segment per shipment. (PO 804)	14-Jun-2013	100%	\$ 81,558.00	\$ 81,558.00	\$ 81,558.00	\$ 81,558.00
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	23-Jul-2013	100%	\$ 3,208,462.07	\$ 3,208,462.07	\$ 3,208,462.07	\$ 3,208,462.07
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Pick up trailer & insurance monthly payment (PO 1279)	20-Nov-2013	100%	\$ 17,464.78	\$ 17,464.78	\$ 17,464.78	\$ 15,967.28
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Rental of 2 diesel heaters & delivery (PO 1335)	5-Dec-2013	100%	\$ 2,143.97	\$ 2,143.97	\$ 2,143.97	\$ 844.86
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Freight (PO 778)	26-Jun-2013	100%	\$ 828.00	\$ 828.00	\$ 828.00	\$ 828.00
HARRIS REBAR (Non-DBE sub)	HARRIS REBAR							
Rebar International Inc. (LT to Harris Rebar)	#D2F2606440	Install reinforcing steel bars	1-Aug-2013	100%	\$ 85,047.31	\$ 85,047.31	\$ 85,047.31	\$ 85,047.31
Hitachi Zosen USA Ltd (Non-DBE sub)	Hitachi Zosen USA Ltd							
CivilTech Engineering (LT to Hitachi)	#D4M0018825	Ground Condition Evaluation	6-Aug-2014	100%	\$ 127,804.00	\$ 127,804.00	\$ 127,804.00	\$ 77,318.17
Global GeoPhysics (LT to CivilTech - 3rd Tier under Hitachi)	#D4F0021256	Access Shaft - GPR & MASW Surveys at T48	26-Jan-2015					\$ 11,554.00
Yonemitsu Geological Services (LT to CivilTech- 3rd Tier under Hitachi)	#D4M0013447	Access Shaft - Soil Testing	28-Jan-2015					\$ 935.00
HNTB (Non-DBE sub)	HNTB							
3D InFusion (LT to HNTB)	#D4M0018480	CADD Drafting	23-Apr-2012	100%	\$ 22,571.00	\$ 22,571.00	\$ 22,571.00	\$ 22,571.00
Berona Engineers Inc. (LT to HNTB)	#D4M5110441	Mechanical Engineering	5-Apr-2012	100%	\$ 122,373.75	\$ 122,373.75	\$ 122,373.76	\$ 122,373.76
Bolima Drafting & Design Inc (LT to HNTB)	#D1M0205127	CADD Drafting	18-Aug-2011	100%	\$ 601,225.43	\$ 601,225.43	\$ 601,225.43	\$ 601,225.43
Casseday Consulting (LT to HNTB)	#D2F9821042	Traffic & Transportation	29-Jul-2011	100%	\$ 4,350.95	\$ 4,350.95	\$ 4,350.95	\$ 4,350.95
Chudgar Engineering Company (LT to HNTB)	#D4M9019387	Structural Engineering	20-Sep-2011	100%	\$ 101,510.22	\$ 101,510.22	\$ 101,510.22	\$ 101,510.22
CivilTech Engineering (LT to HNTB)	#D4M0018825	Utility & Structural Engineering Services & Staff Augmentation	25-Aug-2011	100%	\$ 937,830.53	\$ 937,830.53	\$ 6,266.43	\$ 937,831.42

Convergent Pacific (LT to HNTB)	#D4M0018854	Structural Engineering	28-Oct-2011	100%	\$ 176,417.44	\$ 176,417.44	\$ 54.17	\$ 176,417.44	\$ 176,417.44
DKA (LT to HNTB)	#D3M2403468	CADD Drafting & Architectural Services	5-Aug-2011	100%	\$ 492,750.26	\$ 492,750.26		\$ 492,750.26	\$ 492,750.26
Earth Mechanics, Inc. (LT to HNTB)	#D4M8020108	Geotechnical Seismic Design & Analysis	1-May-2011	100%	\$ 634,350.12	\$ 634,350.12		\$ 634,350.12	\$ -
Integrated Design Engineers, LLC (LT to HNTB)	#D4M8719103	Structural & Civil Engineering	6-Jan-2012	100%	\$ 1,053,181.53	\$ 1,053,181.53	\$ 15,293.65	\$ 1,053,181.54	\$ 1,053,181.54
Onelli Service Group (LT to HNTB)	#D5M9820775	Materials Approval Engineer	30-Apr-2015	100%	\$ 206,354.25	\$ 206,354.25	\$ 8,592.75	\$ 188,655.75	\$ 188,655.75
Osborn Consulting Inc. (LT to HNTB)	#D2F0019030	Temporary Erosion & Sediment Control Backwater analysis	1-May-2011	100%	\$ 481,563.99	\$ 481,563.99		\$ 481,563.99	\$ 481,563.99
Osborn Pacific Group Inc. (LT to HNTB)	#D2F0000139	Landscape Architectural Services	5-Mar-2012	100%	\$ 33,377.30	\$ 33,377.30		\$ 33,377.30	\$ 33,377.30
Saez Consulting Engineers Inc. (LT to HNTB)	#D5M0019808	Utility Engineering Services & Structural Engineering	1-May-2011	100%	\$ 442,702.43	\$ 442,702.43	\$ 3,244.64	\$ 431,931.45	\$ 428,468.95
Schemata Workshop (LT to HNTB)	#D4F0021115	Architectural Specifications	16-Apr-2012	100%	\$ 186,757.53	\$ 186,757.53	\$ 373.60	\$ 186,757.53	\$ 186,757.53
Wakerobin (LT to HNTB)	#D2F0019744	Document Control General Mngt. Consulting Svcs.	26-Sep-2011	100%	\$ 117,676.00	\$ 117,676.00	\$ 2,993.60	\$ 117,524.00	\$ 117,524.00
Yonemitsu Geological Services (LT to HNTB)	#D4M0013447	Groundwater Monitoring	8-Jul-2011	100%	\$ 6,132.18	\$ 6,132.18		\$ 6,132.18	\$ 6,132.18
HNTB - HART CROWSER INC. (Non-DBE sub)	HNTB - HART CROWSER INC.								
Global Geophysics (LT to HNTB)	#D4F0021256	Geophysical Consulting Services	1-May-2011	100%	\$ 27,000.00	\$ 27,000.00		\$ 27,000.00	\$ 27,000.00
Holocene Drilling Inc (Non-DBE sub)	HOLOCENE DRILLING								
Yonemitsu Geological Services (LT to Holocene)	#D4M0013447	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	20-Jul-2012	100%	\$ 128,589.62	\$ 128,589.62		\$ 128,589.62	\$ 128,589.62
HOS BROS. CONSTRUCTION (Non-DBE sub)	HOS BROS.								
ProUSA (LT to Hos Bros)	#D3M0022332	Trucking	23-Nov-2013	100%	\$ 129,364.00	\$ 129,364.00		\$ 129,364.00	\$ 29,379.00
JH KELLY (Non-DBE sub)	JH KELLY								
Scheduling and Information Services (LT to JH Kelly)	#D2F8218862	Project Controls and Scheduling	8-Apr-2013	54%	\$ 1,332,000.00	\$ 715,044.50	\$27,020.00	\$ 661,609.98	\$ 553,734.98
JH KELLY - DESERT MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL								
OMA Construction Inc. (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D4M2316494	Underground Piping	1-Oct-2012	100%	\$ 112,640.00	\$ 112,640.00		\$ 112,640.00	\$ 112,640.00
Wayron - (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Tunnel Ventilation Stacks	13-Feb-2013	99%	\$ 760,949.98	\$ 749,934.92		\$ 749,934.92	\$ 749,934.92
Wayron - (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Transition Ductwork of Tunnel	19-Sep-2014	98%	\$ 250,383.81	\$ 244,589.50		\$ 244,589.50	\$ 244,589.50
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL	JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL								
JP Francis & Associates, Inc. (LT to Shinn, 4th tier under JH Kelly) #1488	#D3M0018112	Mechanical	1-May-2013	100%	\$ 628,754.60	\$ 628,176.43		\$ 596,767.64	\$ 596,767.64
JP Francis & Associates, Inc. (LT to Shinn, 4th tier under JH Kelly) #1533	#D3M0018112	Mechanical	1-Aug-2013	102%	\$ 2,723,878.04	\$ 2,784,206.72		\$ 2,685,617.72	\$ 1,931,708.98
JH KELLY - FISK ELECTRIC (Non-DBE sub)	JH KELLY - FISK ELECTRIC								
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0185A	23-Apr-2012	100%	\$ 4,374.53	\$ 4,374.53		\$ 4,374.53	\$ 2,624.72
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0444A	20-Apr-2013	99%	\$ 5,718,567.11	\$ 5,683,961.88	\$ 1,386.88	\$ 5,683,961.88	\$ 3,410,377.13
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0572A	10-Jul-2013	97%	\$ 5,393,269.37	\$ 5,228,146.02	\$ 29,968.36	\$ 5,203,958.89	\$ 3,122,375.33
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #248451	6-Jan-2016	100%	\$ 472.66	\$ 472.66		\$ 472.66	\$ 283.60
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #311732	3-Aug-2016	100%	\$ 77.23	\$ 77.23		\$ 77.23	\$ 46.34
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #315722	17-Aug-2016	100%	\$ 813.50	\$ 813.50	\$ 813.50	\$ 813.50	\$ 488.10

Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #319808	15-Aug-2016	100%	\$	125.00	\$	125.00	\$	125.00	\$	125.00	\$	75.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #322986	26-Jun-2016	100%	\$	205.61	\$	205.61	\$	205.61	\$	205.61	\$	123.37
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #256256	8-Feb-2016	100%	\$	344.47	\$	344.47			\$	344.47	\$	182.68
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #270234	25-Feb-2016	99%	\$	348,330.00	\$	344,563.50	\$	144,526.00	\$	344,563.50	\$	206,738.10
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #279119	30-Mar-2016	0%	\$	225,819.00	\$	-			\$	-	\$	-
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #292345	9-May-2016	100%	\$	2,760.00	\$	2,760.00			\$	2,760.00	\$	1,656.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #293767	12-May-2016	100%	\$	2,760.00	\$	2,760.00			\$	2,760.00	\$	1,656.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #297235	24-May-2016	100%	\$	3,074.88	\$	3,074.88			\$	3,074.88	\$	1,844.93
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0720A	1-Jun-2013	100%	\$	174.65	\$	174.65			\$	174.65	\$	104.79
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0673A	1-May-2013	100%	\$	249.61	\$	249.61			\$	249.61	\$	149.77
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0680X	1-May-2013	100%	\$	47.41	\$	47.41			\$	47.41	\$	28.45
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0632A	1-Apr-2013	100%	\$	2,760.56	\$	2,760.56			\$	2,760.56	\$	1,656.34
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #29250	1-Oct-2013	100%	\$	1,573.93	\$	1,573.93			\$	1,573.93	\$	944.36
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #32814	7-Nov-2013	100%	\$	64,000.00	\$	64,000.00			\$	64,000.00	\$	38,400.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #45436	13-Jan-2014	100%	\$	608.40	\$	608.40			\$	608.40	\$	365.04
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #7747	6-Aug-2013	100%	\$	191.63	\$	191.63			\$	191.63	\$	114.98
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #31840	1-Nov-2013	100%	\$	1,445.40	\$	1,445.40			\$	1,445.40	\$	867.24
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #118390	15-Sep-2014	100%	\$	6,296.25	\$	6,296.25			\$	6,296.25	\$	3,777.75
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #142187	6-Dec-2014	100%	\$	4,627.44	\$	4,627.44			\$	4,627.44	\$	2,776.46
Transcon (LT to FISK, 3rd tier under JH Kelly)	#D4M1022071	Electrical supply and install	11-Feb-2013	100%	\$	59,187.03	\$	59,187.03			\$	59,187.03	\$	59,187.03
JH KELLY - SICE (Non-DBE sub)	JH KELLY - SICE ELECTRIC													
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	#D1M8522607	Electrical contractor	1-Jan-2014	100%	\$	4,249.04	\$	4,249.04			\$	4,249.04	\$	-
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly)	#D5M0017938	Manufacturer Electrical Panel	28-Jan-2014	100%	\$	270,800.00	\$	270,800.00			\$	270,800.00	\$	270,800.00
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly)	#D5M0017938	Electrical contractor	1-May-2015	9%	\$	2,791,067.39	\$	265,078.97	\$	56,400.32	\$	265,078.97	\$	265,078.97
LENNON CRANE (Non-DBE sub)	LENNON CRANE													
Penny Lee Trucking (LT to Lennon Crane)	#D2F2906632	Trucking	N/A	100%	\$	2,996.50	\$	2,996.50			\$	2,996.50	\$	-
LONG PAINTING (Non-DBE sub)	LONG PAINTING													
Fryer Knowles (LT to Long Painting)	#D2F5010810	Painting	10-Sep-2015	100%	\$	7,052.50	\$	7,052.50			\$	6,347.25	\$	-
MALCOLM (Non-DBE sub)	MALCOLM													
1 Alliance Geomatics (LT to Malcolm)	#D4M0022928	Onsite Survey Assistance	14-May-2014	100%	\$	397,730.00	\$	397,730.00			\$	397,730.00	\$	397,730.00
BC Traffic (LT to Malcolm)	#D4M0011474	Traffic Control	12-May-2014	100%	\$	335,073.03	\$	335,073.03			\$	313,323.33	\$	313,323.33
BESTCCLS INC (LT to Malcolm)	#D3M9319663	Survey, as-build services	20-Oct-12	100%	\$	27,202.50	\$	27,202.50			\$	25,748.91	\$	25,748.91

Mar-Vac / Marine Vacuum Service Inc. (LT to Malcolm)	#D1M0002341	Vac-truck services	10-Apr-2012	100%	\$ 178,836.06	\$178,836.06		\$169,894.26	\$ 169,894.26
Transcon (LT Malcolm)	#D4M1022071	Excavation	9-May-2014	100%	\$ 431,642.23	\$ 431,642.23		\$ 422,156.00	\$ 412,669.77
MAYES TESTING ENGINEERS, INC. (Non-DBE sub)	MAYES TESTING ENGINEERS, INC.								
Pacific Geo Engineering (LT to Mayes)	#D4M9620410	Soil Compaction Testing Services	4-Jan-2013	100%	\$ 45,617.00	\$ 45,617.00		\$ 45,617.00	\$ 45,617.00
MISSION GLASS (Non-DBE sub)	MISSION GLASS								
Quality Discount Supplies (LT Mission Glass)	#D3M0700021	Office Supplies	22-Dec-2015	100%	\$ 8,379.61	\$ 8,379.61		\$ 8,379.61	\$ 1,745.02
NCM (Non-DBE sub)	NCM								
OMA (LT to NCM)	#D4M2316494	Demo, Trucking Secants, Wall Openings and Cradle	25-Mar-2014	100%	\$ 206,032.50	\$ 206,032.50		\$ 206,032.50	\$ 89,001.25
JMR Trucking	#D3M6917511	Trucking							\$ -
Ponglia Trucking	#D4M0019913	Trucking							\$ 2,458.75
Ten Trucking	#D3M0020597	Trucking							\$ -
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM)	#D1M0002341	Vac truck services	26-Jun-2014	100%	\$ 39,108.76	\$ 39,108.76	\$ 5,837.82	\$ 39,108.76	\$ 17,345.28
NORTHWEST ASPHALT (Non-DBE sub)	NW ASPHALT								
C&E Transport (LT to Northwest Asphalt)	#D3M0022750	Trucking	na	100%	\$ 5,168.00	\$ 5,168.00		\$ 5,168.00	\$ -
OMA (LT to Northwest Asphalt)	#D4M2316494	Trucking	26-Feb-2014	100%	\$ 58,236.25	\$ 58,236.25		\$ 55,324.43	\$ -
ProUSA (LT to Northwest Asphalt)	#D3M0022332	Trucking	24-Feb-2014	100%	\$ 11,576.41	\$ 11,576.41		\$ 11,576.41	\$ -
OTTO ROSENAU & ASSOCIATES, INC. (Non-DBE sub)	OTTO ROSENAU & ASSOCIATES, INC.								
AAR Testing Laboratories, Inc. (LT to Otto)	#D2F4708231	Outside Testing & Inspection	25-Oct-2011	100%	\$ 68,602.74	\$ 68,602.74	COMPLETE	\$ 68,602.74	\$ 68,602.74
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)	PROFESSIONAL SERVICES INDUSTRY (PSI)								
AAR Testing Laboratories, Inc. (LT to PSI)	#D2F4708231	Concrete testing and laboratory testing	19-Oct-2015	100%	\$ 10,663.50	\$ 10,663.50	\$ 2,986.50	\$ 10,663.50	\$ 10,663.50
Pacific Geo Engineering (LT to PSI)	#D4M9620410	Concrete testing and laboratory testing	4-Jan-2013	100%	\$ 29,532.50	\$ 29,532.50		\$ 29,532.50	\$ 29,532.50
SOLDATA - KPG (Non-DBE sub)	SOLDATA - KPG								
Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	#D5M0019808	Settlement Monitoring	1-Apr-2012	85%	\$ 1,375,102.53	\$ 1,172,331.91		\$ 1,129,619.40	\$ 1,129,619.40
OMA Construction Inc. (LT to KPG, 3rd tier under SolData)	#D4M2316494	Settlement Point Installations	6-Sep-2012	100%	\$ 124,850.00	\$ 124,850.00		\$ 124,850.00	\$ 91,541.00
SOLDATA - HART CROWSER (Non-DBE sub)	SOLDATA - HART CROWSER								
Novito Construction Inc. (LT to Hart Crowser, 3rd tier under SolData)	#D2F6917467	Traffic control/ flagging	11-Jun-2012	100%	\$ 228,052.53	\$ 228,052.53		\$ 228,052.53	\$ 228,052.53
SOLDATA - Holocene Drilling (Non-DBE sub)	SOLDATA - HOLOCENE DRILLING								
Yonemitsu Geological Services (LT to Holocene, 3rd tier under SolData)	#D4M0013447	Geological Engineering & Boring Logs	8-Apr-2015	100%	\$ 49,577.44	\$ 49,577.44		\$ 49,577.44	\$ 49,577.44
US Electric (Non-DBE sub)	US ELECTRIC								
Mobile Electrical Distributors Inc. (LT to US Electric)	#D2F0017012	Electrical Supply	13-Feb-2013	100%	\$ 159,186.36	\$ 159,186.36		\$ 159,186.36	\$ 95,511.82
Sundancer Electric (LT to US Electric)	#D1M8522607	Electrical Support for Tunneling Operations	14-Apr-2015	100%	\$ 1,299,170.91	\$ 1,299,170.91		\$ 1,185,413.61	\$ 1,185,413.61
Sundancer Electric (LT to US Electric)	#D1M8522607	Electrical Support for Tunneling Operations	24-Jan-2016	100%	\$ 2,756,636.68	\$ 2,756,636.68	\$ 302,965.90	\$ 2,410,826.47	\$ 2,410,826.47
VANDERLIP & COMPANY, INC. (Non-DBE sub)	VANDERLIP & CO., INC.								
Birch Equipment (LT to Vanderlip & Company, Inc.)	#D2F0022284	Equipment Rental	7-Dec-2015	100%	\$ 16,330.40	\$ 16,330.40		\$ 10,740.80	\$ 6,444.48
VECA (Non-DBE sub)	VECA								
Mobile Electrical Distributors Inc. (LT to VECA)	#D2F0017012	Electrical Supply		100%	\$ 9,803.15	\$ 9,803.15	COMPLETE	\$ 9,803.15	\$ 5,881.89
					\$ 117,962,590.55	\$ 108,975,383.56	\$ 889,860.81	\$ 107,480,157.99	\$ 87,308,139.63

PLEASE ANSWER THE FOLLOWING QUESTIONS BY STATING EITHER "YES" OR "NO":
1) Have any of the DBE subcontractors been added or removed from the DBE monthly report period? If yes, fully explain the action.

No.

2) Has the scope of work or the subcontract amount for any of the DBE subcontractors changed since the last report? If yes, fully explain the action.
Yes. Please see the attached summary report for commitments as of 10/31/16.

3) Did any of the DBE subcontractors rent/lease equipment from the prime contractor or an affiliate company during the report period? If yes, explain the arrangement, including a description of the equipment and the cost.
No.

4) Did any of the DBE subcontractors utilize employees of former employees of the prime contractor or an affiliate company during the report period? If yes, fully explain the arrangement
No.

AUTHORIZED SIGNATURE:



CHRIS DIXON

TITLE:

Project Manager

DATE:

12/6/2016

ATTACHMENT # 2
DBE COMMITMENT VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#68 Invoiced to Date	#68 Commitment	#67 Commitment	#68 - #67 Commitment Change	REMARKS
FIRST TIER										
Catherine Bassetti	Progress photography	V	5-Mar-2012	Active	100%	\$ 130,045.14	\$ 130,045.14	\$ 127,645.14	\$ 2,400.00	Equals Invoiced to Date; Total of Subconsultant Agreement #1 (thru CO #3), and Subconsultant Agreement #2 (thru CO #5) = \$169,095.14 (NTE)
DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 1,352,390.98	\$ 1,352,390.98	\$ 1,345,653.54	\$ 6,737.44	Subcontract Agreement thru CO #8 = \$1,379,545.69
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 297,263.31	\$ 297,263.31	\$ 296,455.26	\$ 808.05	Equals Invoiced to Date total; Subconsultant Agreement thru CO #14 = \$320,381.58 (NTE)
J. Keiser & Associates	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 218,521.20	\$ 218,521.20	\$ 209,821.20	\$ 8,700.00	Equals Invoiced to Date total; Subconsultant Agmt 01 (thru CO #3), and Subconsultant Agmt 02 (thru CO #01) = \$336,571.20 (NTE)
Meeds Environmental	Filter Press	V	2-Apr-2015		100%	\$ 68,500.00	\$ 68,500.00	\$ 65,760.00	\$ 2,740.00	Equals Invoiced to Date total; Subcontract Agreement through CO #001 = \$93,598.40
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 519,660.93	\$ 519,660.93	\$ 504,432.68	\$ 15,228.25	Equals Invoiced to Date total; Subcontract Agreement through CO #1 = \$931,260.00
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 7,323,774.50	\$ 7,323,774.50	\$ 7,161,493.75	\$ 162,280.75	Equals Invoiced to Date total; Subcontract (#1977-01) through CO #7 = \$7,679,199.75
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 1,098,327.81	\$ 1,098,327.81	\$ 1,077,586.05	\$ 20,741.76	Equals Invoiced to Date total; Contract and Change Orders in STP files (through #13) = \$1,291,150 (Total NTE - Unit Price Agmt)
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 490,368.50	\$ 490,368.50	\$ 469,750.50	\$ 20,618.00	Equals Invoiced to Date total; (PO No 2399-DB10) Agreement thru CO #11 = \$504,066.12
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 1,006,168.49	\$ 1,006,168.49	\$ 992,612.49	\$ 13,556.00	Equals Invoiced to Date total; through CO #6 Total Revised Agreement Amount = \$1,046,842.00
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 1,185,467.00	\$ 1,185,467.00	\$ 1,162,785.50	\$ 22,681.50	Equals Invoiced to Date total; Subcontract Agreement through CO #3 = \$1,250,000
VENDORS										
Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 478,250.08	\$ 478,250.08	\$ 469,520.44	\$ 8,729.64	Equals Invoiced to Date total; Total PO's = \$638,315.54
Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 68,917.04	\$ 68,917.04	\$ 68,779.38	\$ 137.66	Equals Invoiced to Date total; PO's & CO's (thru CO #2) = \$69,347.64
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 426,365.38	\$ 426,365.38	\$ 424,023.01	\$ 2,342.37	Equals Invoiced to Date total; Purchase Orders = \$433,823.12
United Reprographics	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 17,516.35	\$ 17,516.35	\$ 17,485.71	\$ 30.64	Equals Invoiced to Date total; Subcontract and PO's = \$27,375
LOWER TIER										
HNTB (Non-DBE sub)										
Oneill Service Group (LT to HNTB)	Materials Approval Engineer	V	30-Apr-2015		80%	\$ 206,354.25	\$ 206,354.25	\$ 200,326.50	\$ 6,027.75	Equals Invoiced to Date total; Subcontract (thru CO #4) = \$320,000
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 442,702.43	\$ 442,702.43	\$ 431,931.43	\$ 10,771.00	Equals Invoiced to Date total; Subconsultant Agmt #1 (thru CO #19) and Subcontract Agmt #2 = \$461,758.00
JH KELLY - FISK ELECTRIC (Non-DBE sub)										
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	20-Apr-2013		100%	\$ 5,683,961.88	\$ 5,718,567.11	\$ 5,715,813.43	\$ 2,753.68	Total value of Purchase Order (thru CO #21) in STP file = \$5,718,567.11
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,228,146.02	\$ 5,393,269.37	\$ 5,371,151.53	\$ 22,117.84	Total value of Purchase Order (PO801006-0572A) and Change Orders (thru #24) = \$5,393,269.37
NCM (Non-DBE sub)										
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM)	Vac truck services	V	26-Jun-2014	Active	100%	\$ 39,108.76	\$ 39,108.76	\$ 33,233.40	\$ 5,875.36	Equals Invoiced to date total; Subcontract Agreement for "NTE" amount to be determined per T&M Invoice and added by Change Order in binder; last increase by CO #7 in STP file for a total of \$39,108.76
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)										
AAR Testing Laboratories, Inc. (LT to PSI)	Concrete testing and laboratory testing	V	19-Oct-2015		100%	\$ 10,663.50	\$ 10,663.50	\$ 7,677.00	\$ 2,986.50	Equals Invoiced to Date total; Consultant Agreement for hourly rates
US Electric (Non-DBE sub)										
Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 2,756,636.68	\$ 2,756,636.68	\$ 2,341,254.86	\$ 415,381.82	Equals Invoiced to Date total; Subcontract Agmt #1 and Subcontract Agmt #2 (thru CO #2) = \$3,512,389.76
						\$ 108,975,383.56	\$ 117,962,590.55	\$ 117,208,944.54	\$ 753,646.01	

ATTACHMENT # 3
DBE INVOICED TO DATE VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#68 Commitment	#68 Invoiced to Date	#67 Invoiced to Date	#68 - #67 Invoiced (for October)	Invoices (for October)	Verified work done prior to invoice date	REMARKS
FIRST TIER												
Catherine Bassetti	Progress photography	V	5-Mar-2012	Active	100%	\$ 130,045.14	\$ 130,045.14	\$ 127,645.14	\$ 2,400.00	Inv #24:093016	Yes	Invoice in binder
DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 1,352,390.98	\$ 1,352,390.98	\$ 1,345,653.54	\$ 6,737.44	See Remarks	Yes	Invoice #'s 141:100116, 142:100816, 143:101516 in binder
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 297,263.31	\$ 297,263.31	\$ 296,455.26	\$ 808.05	Inv #60:093016	Yes	Invoice in binder
J. Keiser & Associates	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 218,521.20	\$ 218,521.20	\$ 209,821.20	\$ 8,700.00	Inv #111838	Yes	Invoice in binder
Meeds Environmental	Filter Press	V	2-Apr-2015		100%	\$ 68,500.00	\$ 68,500.00	\$ 65,760.00	\$ 2,740.00	Inv #2485	Yes	Invoice in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 519,660.93	\$ 519,660.93	\$ 504,432.68	\$ 15,228.25	See Remarks	Yes	Invoice #'s 33975, 33976, 33977, 33996, 33995, 33997, 34005, 34006, 34007, 34017, 34016, 34015 in binder
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 7,323,774.50	\$ 7,323,774.50	\$ 7,161,493.75	\$ 162,280.75	See Remarks	Yes	Invoice #'s 2395, 2396, 2397, 2398 in binder
Oneill Service Group	Environmental Inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 1,098,327.81	\$ 1,098,327.81	\$ 1,077,586.05	\$ 20,741.76	Inv #3376	Yes	Invoice in binder
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 490,368.50	\$ 490,368.50	\$ 469,750.50	\$ 20,618.00	See Remarks	Yes	Invoice #'s 2077, 2079 in binder
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 1,006,168.49	\$ 1,006,168.49	\$ 992,612.49	\$ 13,556.00	Inv #3659-68	Yes	Invoice in binder
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 1,185,467.00	\$ 1,185,467.00	\$ 1,162,785.50	\$ 22,681.50	See Remarks	Yes	Invoice #'s 94:092416, 95:100816 in binder
VENDORS												
Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 478,250.08	\$ 478,250.08	\$ 469,520.44	\$ 8,729.64	See Remarks	Yes	Invoice #'s 1459948, 136419E8 in binder
Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 68,917.04	\$ 68,917.04	\$ 68,779.38	\$ 137.66	Inv #81563	Yes	Invoice in binder
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 426,365.38	\$ 426,365.38	\$ 424,023.01	\$ 2,342.37	See Remarks	Yes	Invoice #'s 1609591, 1609728, 1610268, 1610536, 1610493 in binder
United Reprographics	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 17,516.35	\$ 17,516.35	\$ 17,485.71	\$ 30.64	Inv #9055808	Yes	Invoice in binder
LOWER TIER												
HNTB (Non-DBE sub)												
Oneill Service Group (LT to HNTB)	Materials Approval Engineer	V	30-Apr-2015		80%	\$ 206,354.25	\$ 206,354.25	\$ 200,326.50	\$ 6,027.75	Inv #3365-57161	Yes	Invoice in binder
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 442,702.43	\$ 442,702.43	\$ 431,931.43	\$ 10,771.00	See Remarks	Yes	Invoice #'s 1397-46055, 1403-46055 in binder
JH KELLY (Non-DBE sub)												
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 1,332,000.00	\$ 715,044.50	\$ 661,004.50	\$ 54,040.00	See Remarks	Yes	Invoice #'s 418829, 418830, 418965, 418964 in binder
JH KELLY - FISK ELECTRIC (Non-DBE sub)												
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,393,269.37	\$ 5,228,146.02	\$ 5,203,958.89	\$ 24,187.13	See Remarks	Yes	Invoice #'s 69421, 69419, 69580, 69707 in binder
JH KELLY - SICE (Non-DBE sub)												
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly)	Electrical contractor	S	1-May-2015		100%	\$ 2,791,067.39	\$ 265,078.97	\$ 208,678.65	\$ 56,400.32	See Remarks	Yes	Invoice #'s 293676, 293687 in binder
NCM (Non-DBE sub)												
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM)	Vac truck services	V	26-Jun-2014	Active	100%	\$ 39,108.76	\$ 39,108.76	\$ 33,233.40	\$ 5,875.36	See Remarks	Yes	Invoice #'s 55785, 57128, 57212, 57308 and 57319 in binder total \$5837.82. Difference is \$37.54 from a Credit not deducted from amount claimed on Progress Report #67. STP will make adjustment next month.
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)												
AAR Testing Laboratories, Inc. (LT to PSI)	Concrete testing and laboratory testing	V	19-Oct-2015		100%	\$ 10,663.50	\$ 10,663.50	\$ 7,677.00	\$ 2,986.50	Inv #91065	Yes	Invoice in binder
US Electric (Non-DBE sub)												
Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 2,756,636.68	\$ 2,756,636.68	\$ 2,341,254.86	\$ 415,381.82	See Remarks	Yes	Invoice #'s 7057, 10021, 10022, 10006, 10007 in binder
						\$ 117,962,590.55	\$ 108,975,383.56	\$ 108,111,981.62	\$ 863,401.94			

ATTACHMENT # 4
DBE TOTAL PAYMENTS VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#68 Total Payments this Report (October)	#68 Total Payments to Date	#67 Total Payments to Date	Compare Total Pymts to Date (#68 #67)	Variance	REMARKS
FIRST TIER											
Catherine Bassetti	Progress photography	V	5-Mar-2012	Active	100%	\$ 2,400.00	\$ 130,045.14	\$ 127,645.14	\$ 2,400.00	\$ -	Check #15158 in binder
DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 8,719.04	\$ 1,352,390.98	\$ 1,343,671.94	\$ 8,719.04	\$ (0.00)	Check #'s 14938, 15015, 15137, 15157 in binder
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 970.61	\$ 296,455.26	\$ 295,484.65	\$ 970.61	\$ 0.00	Check #14937 in binder
J. Keiser & Associates	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 8,700.00	\$ 218,521.20	\$ 209,821.20	\$ 8,700.00	\$ -	Check #14951 in binder
Meeds Environmental	Filter Press	V	2-Apr-2015		100%	\$ 2,740.00	\$ 68,500.00	\$ 65,760.00	\$ 2,740.00	\$ -	Check #15005 in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 10,853.38	\$ 507,927.41	\$ 497,074.03	\$ 10,853.38	\$ 0.00	Check #'s 14986, 15073 in binder
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 162,280.75	\$ 7,323,774.50	\$ 7,161,493.75	\$ 162,280.75	\$ -	Check #15014 in binder
Onelli Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 20,741.76	\$ 1,098,327.81	\$ 1,077,586.05	\$ 20,741.76	\$ -	Check #15139 in binder
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 10,309.00	\$ 480,059.50	\$ 469,750.50	\$ 10,309.00	\$ -	Check #14955 in binder
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 13,556.00	\$ 1,006,168.49	\$ 992,612.49	\$ 13,556.00	\$ -	Check #15135 in binder
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 22,681.50	\$ 1,185,467.00	\$ 1,162,785.50	\$ 22,681.50	\$ -	Check #'s 15018, 15161 in binder
United Professional Caulking	Harrison Street Expansion Joints	S	12-Aug-2014		100%	\$ 3,962.50	\$ 79,250.00	\$ 75,287.50	\$ 3,962.50	\$ -	Check #14950 in binder
VENDORS											
Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 11,649.16	\$ 475,844.36	\$ 464,195.20	\$ 11,649.16	\$ 0.00	Check #'s 14981, 15064 in binder
Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 137.66	\$ 68,917.04	\$ 68,779.38	\$ 137.66	\$ 0.00	Check #15035 in binder
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs.	R	NA	Active	99%	\$ 1,074.08	\$ 425,097.17	\$ 424,023.09	\$ 1,074.08	\$ 0.00	Check #15041 in binder
United Reprographics	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 30.64	\$ 17,516.35	\$ 17,485.71	\$ 30.64	\$ 0.00	Check #15080 in binder
LOWER TIER											
HNTB (Non-DBE sub)											
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 6,266.43	\$ 937,831.42	\$ 931,564.98	\$ 6,266.44	\$ (0.01)	Check #'s 396960, 397438 in binder
Convergent Pacific (LT to HNTB)	Structural Engineering	V	28-Oct-2011	1/14/2013	100%	\$ 54.17	\$ 176,417.44	\$ 176,363.27	\$ 54.17	\$ (0.00)	Check #396962 in binder
Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	V	6-Jan-2012	Active	100%	\$ 15,293.65	\$ 1,053,181.54	\$ 1,037,887.89	\$ 15,293.65	\$ (0.00)	Check #'s 396986, 397441 in binder
Onelli Service Group (LT to HNTB)	Materials Approval Engineer	V	30-Apr-2015		80%	\$ 8,592.75	\$ 188,655.75	\$ 180,063.00	\$ 8,592.75	\$ -	Check #397014 in binder
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 3,244.64	\$ 431,931.45	\$ 428,686.81	\$ 3,244.64	\$ (0.00)	Check #'s 397443, 397030 in binder
Schemata Workshop (LT to HNTB)	Architectural Specifications	V	16-Apr-2012	1/14/2013	100%	\$ 373.60	\$ 186,757.53	\$ 186,383.93	\$ 373.60	\$ (0.00)	Check #'s 397033, 397032 in binder
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	100%	\$ 2,993.60	\$ 117,524.00	\$ 114,530.10	\$ 2,993.90	\$ (0.30)	Check #'s 397055, 397446 in binder
JH KELLY (Non-DBE sub)											
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 27,020.00	\$ 661,609.98	\$ 634,589.98	\$ 27,020.00	\$ -	Wire Transfer Reference #0018264 in binder
JH KELLY - FISK ELECTRIC (Non-DBE sub)											
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	20-Apr-2013		100%	\$ 1,386.88	\$ 5,683,961.88	\$ 5,682,575.00	\$ 1,386.88	\$ 0.00	Check #59722 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 29,968.36	\$ 5,203,958.89	\$ 5,173,990.53	\$ 29,968.36	\$ 0.00	Check #'s 59415, 59946, 59722 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (Added on #66)	Electrical Supply #315722	R	17-Aug-2016		100%	\$ 813.50	\$ 813.50	\$ -	\$ 813.50	\$ -	Check #59415 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (Added on #66)	Electrical Supply #319808	R	15-Aug-2016		0%	\$ 125.00	\$ 125.00	\$ -	\$ 125.00	\$ -	Check #59415 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (Added on #66)	Electrical Supply #322986	R	26-Jun-2016		0%	\$ 205.61	\$ 205.61	\$ -	\$ 205.61	\$ -	Check #59722 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #270234	R	25-Feb-2016		76%	\$ 144,526.00	\$ 344,563.50	\$ 200,037.50	\$ 144,526.00	\$ -	Check #'s 59415, 59946 in binder
JH KELLY - SICE (Non-DBE sub)											
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly)	Electrical contractor	S	1-May-2015		100%	\$ 56,400.32	\$ 265,078.97	\$ 208,678.65	\$ 56,400.32	\$ -	Check #'s 4571, 4572 in binder
NCM (Non-DBE sub)											
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM)	Vac truck services	V	26-Jun-2014	Active	100%	\$ 5,837.82	\$ 39,108.76	\$ 33,270.94	\$ 5,837.82	\$ -	Check #'s 7005687, 7006456 in binder
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)											
AAR Testing Laboratories, Inc. (LT to PSI)	Concrete testing and laboratory testing	V	19-Oct-2015		100%	\$ 2,986.50	\$ 10,663.50	\$ 7,677.00	\$ 2,986.50	\$ -	Check #270224 in binder
US Electric (Non-DBE sub)											
Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 302,965.90	\$ 2,410,826.47	\$ 2,107,860.27	\$ 302,966.20	\$ (0.30)	Check #'s 103319, 103320, 103324, 103325 in binder
						\$ 889,860.81	\$ 107,480,157.99	\$ 106,590,296.57	\$ 889,861.42	\$ (181.84)	

ATTACHMENT # 5
DBE AMOUNT (AS AMENDED) VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#68	#68	#68 Total	#68 Total	#68 DBE Amount	#67 DBE Amount	#68 - #67 DBE	(X-X)	REMARKS
						Commitment	Invoked to Date	Payments this Report (October)	Payments to Date	(as Amended)	(as Amended)	Amount (as Amended)	Pymt to Date <=#68 DBE Amt	
FIRST TIER														
Catherine Bassetti	Progress photography	V	5-Mar-2012	Active	100%	\$ 130,045.14	\$ 130,045.14	\$ 2,400.00	\$ 130,045.14	\$ 130,045.14	\$ 127,053.64	\$ 2,991.50	Yes	
DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 1,352,390.98	\$ 1,352,390.98	\$ 8,719.04	\$ 1,352,390.98	\$ 1,352,390.98	\$ 1,343,671.94	\$ 8,719.04	Yes	
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 297,263.31	\$ 297,263.31	\$ 970.61	\$ 296,455.26	\$ 165,188.43	\$ 164,357.82	\$ 830.61	Yes	
J. Keiser & Associates	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 218,521.20	\$ 218,521.20	\$ 8,700.00	\$ 218,521.20	\$ 187,250.00	\$ 178,550.00	\$ 8,700.00	Yes	
Meeds Environmental	Filter Press	V	2-Apr-2015		100%	\$ 68,500.00	\$ 68,500.00	\$ 2,740.00	\$ 68,500.00	\$ 68,500.00	\$ 65,760.00	\$ 2,740.00	Yes	
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 519,660.93	\$ 519,660.93	\$ 10,853.38	\$ 507,927.41	\$ 507,927.41	\$ 497,074.03	\$ 10,853.38	Yes	
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 7,323,774.50	\$ 7,323,774.50	\$ 162,280.75	\$ 7,323,774.50	\$ 7,310,280.50	\$ 7,147,999.75	\$ 162,280.75	Yes	
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 19,864,907.02	\$ 19,860,425.46		\$ 19,860,425.46	\$ 16,570,158.11	\$ 16,320,002.61	\$ 250,155.50	Yes	
Buckley's Hauling (LT to OMA)	Trucking	V	20-May-2016							\$ 2,340.00	\$ 1,170.00	\$ 1,170.00	Yes	Paid by upper tier contractor
JMR Trucking (LT to OMA)	Trucking	V	1-Dec-2012	Active	100%					\$ 7,182.50	\$ 5,200.00	\$ 1,982.50	Yes	Paid by upper tier contractor
Mak's Dump Truck (LT to OMA)	Trucking	V	1-Dec-2012	Active	100%					\$ 282,420.73	\$ 279,853.23	\$ 2,567.50	Yes	Paid by upper tier contractor
Tan Trucking (LT to OMA)	Trucking	V								\$ 22,792.50	\$ 17,787.50	\$ 5,005.00	Yes	Paid by upper tier contractor
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 1,098,327.81	\$ 1,098,327.81	\$ 20,741.76	\$ 1,098,327.81	\$ 944,723.58	\$ 926,406.90	\$ 18,316.68	Yes	
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 490,368.50	\$ 490,368.50	\$ 10,309.00	\$ 480,059.50	\$ 267,270.96	\$ 261,600.96	\$ 5,670.00	Yes	
Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	V	6-Apr-2012	Active	100%					\$ 212,788.54	\$ 208,149.54	\$ 4,639.00	Yes	Paid by upper tier contractor
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 1,006,168.49	\$ 1,006,168.49	\$ 13,556.00	\$ 1,006,168.49	\$ 999,772.81	\$ 986,216.81	\$ 13,556.00	Yes	
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 1,185,467.00	\$ 1,185,467.00	\$ 22,681.50	\$ 1,185,467.00	\$ 1,185,467.00	\$ 1,162,785.50	\$ 22,681.50	Yes	
United Professional Caulking	Harrison Street Expansion Joints	S	12-Aug-2014		100%	\$ 79,250.00	\$ 79,250.00	\$ 3,962.50	\$ 79,250.00	\$ 79,250.00	\$ 75,287.50	\$ 3,962.50	Yes	
VENDORS														
Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp.	R	NA	Active	90%	\$ 478,250.08	\$ 478,250.08	\$ 11,649.16	\$ 475,844.36	\$ 285,506.62	\$ 278,517.12	\$ 6,989.50	Yes	
Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 68,917.04	\$ 68,917.04	\$ 137.66	\$ 68,917.04	\$ 41,159.79	\$ 41,077.19	\$ 82.60	Yes	
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 426,365.38	\$ 426,365.38	\$ 1,074.08	\$ 425,097.17	\$ 255,058.30	\$ 254,413.85	\$ 644.45	Yes	
United Reprographics	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 17,516.35	\$ 17,516.35	\$ 30.64	\$ 17,516.35	\$ 17,516.35	\$ 17,485.71	\$ 30.64	Yes	
LOWER TIER														
CivilTech Engineering (LT to HNTB)	Utility & Structural Engineering Services & Staff Augmentation	V	25-Aug-2011	Active	100%	\$ 937,830.53	\$ 937,830.53	\$ 6,266.43	\$ 937,831.42	\$ 937,831.42	\$ 931,564.98	\$ 6,266.44	Yes	
Convergent Pacific (LT to HNTB)	Structural Engineering	V	28-Oct-2011	1/14/2013	100%	\$ 176,417.44	\$ 176,417.44	\$ 54.17	\$ 176,417.44	\$ 176,417.44	\$ 176,363.27	\$ 54.17	Yes	
Integrated Design Engineers, LLC (LT to HNTB)	Structural & Civil Engineering	V	6-Jan-2012	Active	100%	\$ 1,053,181.53	\$ 1,053,181.53	\$ 15,293.65	\$ 1,053,181.54	\$ 1,053,181.54	\$ 1,037,887.89	\$ 15,293.65	Yes	
Oneill Service Group (LT to HNTB)	Materials Approval Engineer	V	30-Apr-2015		80%	\$ 206,354.25	\$ 206,354.25	\$ 8,592.75	\$ 188,655.75	\$ 188,655.75	\$ 180,063.00	\$ 8,592.75	Yes	
Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 442,702.43	\$ 442,702.43	\$ 3,244.64	\$ 431,931.45	\$ 428,468.95	\$ 425,224.31	\$ 3,244.64	Yes	
Schemata Workshop (LT to HNTB)	Architectural Specifications	V	16-Apr-2012	1/14/2013	100%	\$ 186,757.53	\$ 186,757.53	\$ 373.60	\$ 186,757.53	\$ 186,757.53	\$ 186,383.93	\$ 373.60	Yes	
Wakerobin (LT to HNTB)	Document Control General Mngt. Consulting Svcs.	V	26-Sep-2011	1/14/2013	100%	\$ 117,676.00	\$ 117,676.00	\$ 2,993.60	\$ 117,524.00	\$ 117,524.00	\$ 114,530.10	\$ 2,993.90	Yes	
JH KELLY (Non-DBE sub)														
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 1,332,000.00	\$ 715,044.50	\$ 27,020.00	\$ 661,609.98	\$ 553,734.98	\$ 529,214.98	\$ 24,520.00	Yes	
JH KELLY - FISK ELECTRIC (Non-DBE sub)														
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	20-Apr-2013		100%	\$ 5,718,567.11	\$ 5,683,961.88	\$ 1,386.88	\$ 5,683,961.88	\$ 3,410,377.13	\$ 3,409,545.00	\$ 832.13	Yes	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,393,269.37	\$ 5,228,146.02	\$ 29,968.36	\$ 5,203,958.89	\$ 3,122,375.33	\$ 3,104,394.32	\$ 17,981.01	Yes	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (Added on #66)	Electrical Supply #315722	R	17-Aug-2016		100%	\$ 813.50	\$ 813.50	\$ 813.50	\$ 813.50	\$ 488.10	\$ -	\$ 488.10	Yes	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (Added on #66)	Electrical Supply #319808	R	15-Aug-2016		0%	\$ 125.00	\$ 125.00	\$ 125.00	\$ 125.00	\$ 75.00	\$ -	\$ 75.00	Yes	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (Added on #66)	Electrical Supply #322986	R	26-Jun-2016		0%	\$ 205.61	\$ 205.61	\$ 205.61	\$ 205.61	\$ 123.37	\$ -	\$ 123.37	Yes	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #270234	R	25-Feb-2016		76%	\$ 348,330.00	\$ 344,563.50	\$ 144,526.00	\$ 344,563.50	\$ 206,738.10	\$ 120,022.50	\$ 86,715.60	Yes	
JH KELLY - SICE (Non-DBE sub)														
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly)	Electrical contractor	S	1-May-2015		100%	\$ 2,791,067.39	\$ 265,078.97	\$ 56,400.32	\$ 265,078.97	\$ 265,078.97	\$ 208,678.65	\$ 56,400.32	Yes	
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)														
AAR Testing Laboratories, Inc. (LT to PSI)	Concrete testing and laboratory testing	V	19-Oct-2015		100%	\$ 10,663.50	\$ 10,663.50	\$ 2,986.50	\$ 10,663.50	\$ 10,663.50	\$ 7,677.00	\$ 2,986.50	Yes	
US Electric (Non-DBE sub)														
Sundancer Electric (LT to US Electric)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 2,756,636.68	\$ 2,756,636.68	\$ 302,965.90	\$ 2,410,826.47	\$ 2,410,826.47	\$ 2,107,860.27	\$ 302,966.20	Yes	
						\$ 117,962,590.55	\$ 108,975,383.56	\$ 889,860.81	\$ 107,480,157.99	\$ 87,308,139.63	\$ 86,243,663.61	\$ 1,064,476.02		

	SR 99 STP	Bored Tunnel Alternative DB Fed Employer I.D. #27-3509698						ATTACHMENT #6
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineering R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
S	603260116	1 Alliance Geomatics	5/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
V	602288380	3D Infusion	4/23/2012	6/11/2012	6/8/2012	1/14/2013	HNTB	
V	601202429	AAR Testing Laboratories Inc.	4/1/2012	10/31/2012	11/1/2012	None	FD Thomas	
V	601202429	AAR Testing Laboratories Inc.	10/25/2011	2/28/2013	2/28/2013	None	Otto Rosneau & Assoc.	
V	601202429	AAR Testing Laboratories Inc.	11/1/2015	N/A - Firm is still active	Active	N/A - Firm is still active	PSI	
V	601202429	AAR Testing Laboratories Inc.	12/1/2012	2/1/2013	3/1/2013	None	STP	
S	601443349	Adept Mechanical	9/1/2012	1/30/2013	NA	None	ST Fabrication	
V	603499415	Advanced Traffic Control	5/4/2016	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	601997791	Advanced Underground Utilities	10/15/2014	8/10/2015	Pending Retainage/Compliance	Retainage/Compliance	STP	
V	601552304	AM Test, Inc	2/19/2015	2/21/2015	10/23/2015	None	OnSite Environmental (3rd Tier to Greylock)	
S	600089108	Amaya Electric (CCIS-see Marles Corp)	8/2/2013	10/8/2013	2/3/2014	3/14/2014	STP	Accounting received final release 2/3/14
V	603160662	Ball & Son Excavation LLC	1/3/2013	N/A - Firm is still active	N/A	None	OMA	Became DBE 2/4/16
S	602178781	BC Traffic	5/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
S	601554695	Bear Wood Windows		N/A	N/A	N/A	STP	Roller Shades
V	601314208	Berona Engineers Inc	4/5/2012	11/28/2012	11/16/2012	1/14/2013	HNTB	
S	602978139	BEST CCLS Inc	3/26/2012	8/30/2013	2/15/2015		Malcolm	Contract #1 - Closed Final payment docs rec'd from sub on 9/20/13, Final EEO documents received 10/9/13
S	602978139	BEST CCLS Inc	1/10/2012	12/31/2012	10/9/2013	10/16/2013	STP	
R	601361936	Birch Equipment Rental and Sales	12/7/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Vanderlip & Co.	
R	601361936	Birch Equipment Rental and Sales	11/23/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Crown Corr	
R	601361936	Birch Equipment Rental and Sales	2/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601512071	Bolima Drafting & Design Inc	8/18/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
V	602681276	Buckley's Hauling	5/20/2016	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
V	602681276	Buckley's Hauling	12/11/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
S	602408510	C & E Transport	10/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	602408510	C & E Transport	4/25/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602408510	C&E Transport	3/21/2013	3/27/2013		None	NW Asphalt	
V	602919268	C2PM, Inc.	9/1/2011	N/I	N/I	None	STP	No Retention Held
R	600237496	Cascade Concrete Products	9/1/2013	N/A - Firm is still active	5/7/2015	None	FD Thomas	
V	602365682	Casseday Consulting Inc.	7/29/2011	12/30/2011	12/30/2011	1/14/2013	HNTB	
V	601663491	Catherine Bassetti	3/5/2012	N/A - Firm is still active	Active	None	STP	
V	602542891	Chudgar Engineering Company	9/20/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
V	602384747	Civil Tech Engineering Inc	9/1/2011	8/14/2013	8/14/2013	None	FCCC	
V	602384747	Civil Tech Engineering Inc	7/31/2012	N/A - Firm is still active	Active	None	STP	
V	602399218	Convergent Pacific LLC	10/28/2011	9/27/2013	9/24/2013	1/14/2013	HNTB	
V	601518940	D K A Architecture	8/5/2011	9/6/2012	9/6/2012	1/14/2013	HNTB	
S	602953513	DBE Electric, Inc.	11/28/2011	4/25/2012	9/12/2012	10/12/2012	FCCC	
S	602953513	DBE Electric, Inc.	2/27/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602677969	DHD Trucking LLC	12/11/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
S	602677969	DHD Trucking LLC	2/1/2012	N/A - Firm is still active	Active	None	STP	
S	602258601	Duncan Asphalt	5/13/2014	5/15/2014			STP	Retainage has not been released
V	602739282	Earth Mechanics, Inc.	5/1/2011	4/6/2012	4/2/2012	1/14/2013	HNTB	
S	601441115	Emerald City Weatherproofers	11/18/2012	1/3/2013	NA	None	ST Fabrication	
V	602576083	EMT LLC (environmental training)	1/3/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602067564	EPC Consultants Inc	7/3/2013	5/1/2016	5/13/2016	N/A	STP	No longer on the project
R	601781913	Excel Supply Co	1/13/2015	N/A - Firm is still active	Active	None	STP	Jackets & hates
R	601781913	Excel Supply Co	5/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	saftey supplies
V	601266522	Exeltech Consulting, Inc	8/4/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	578021472	Fryer-Knowles Inc	10/1/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Long Painting	CUF issue - Long will issue 2nd contract, carving out work for Fryer to complete a CUF
S	578021472	Fryer-Knowles Inc	11/22/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	Flooring in operation buildings. Work start 9/2014
S	601404405	Garner Construction Inc	9/8/2014	2/2/2015	2/15/2015	None	STP	
S	601363240	Gibson Door & Millwork	10/15/2012	1/25/2013	NA	None	ST Fabrication	
S	603081735	Global Concrete Cutting Inc	6/19/2012	8/18/2012	11/7/2013	see notes	Leajak	pending resolution of litigation
S	603081735	Global Concrete Cutting Inc	9/30/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602504657	Global Geophysics	5/1/2011	6/21/2011	NA	None	Hart Crowser Inc	Hart Crowser stated that Global Geophysics is a professional service provider and do not provide certified payrolls

	SR 99	Bored Tunnel Alternative DB						ATTACHMENT #6
	STP	Fed Employer I.D. #27-3509698						
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineering R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
V	602504657	Global Geophysics	5/21/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602748267	Grady Excavating Inc.	11/29/2011	6/30/2013	7/8/2013	7/12/2013	FCCC	
S	602748267	Grady Excavating Inc.	3/1/2012	9/18/2013	9/24/2013	9/30/2013	STP	
S	601196371	Green City Inc	1/1/2017	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602560706	Greylock Consulting LLC	10/1/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
R	602556355	Highway Specialities	8/26/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	603110800	Ideal Commercial Uniforms	1/4/2015	1/4/2015	N/A	None	STP	purchase order
V	602461020	Integrated Design Engineering	1/6/2012	N/A - Firm is still active	Active	1/14/2013	HNTB	
S	601322160	J. Harper Contractors Inc.	11/7/2011	3/30/2012	6/1/2012	6/26/2012	STP	
V	601587500	J. Keiser & Associates	4/30/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602101301	JMR Trucking Inc	10/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	602101301	JMR Trucking Inc	4/9/2014	4/14/2015	Active	None	OMA (3rd tier to NCM Group)	
V	602101301	JMR Trucking Inc	12/15/2015	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	601919250	JP Francis & Assoc	7/17/2013	4/9/2014	Active	N/A - Firm is still active	STP	
S	601919250	JP Francis & Assoc - 1488	3/4/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical	
S	601919250	JP Francis & Assoc - 1533	9/5/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical	
S	601919250	JP Francis & Assoc (plumbing)	4/10/2013	N/A - Firm is still active	NA	None	BASF	2/28/15 - pending firm's response
V	601426427	KBA	12/5/2012	N/A - Firm is still active	Active	None	STP	
S	602460885	Kiawa Trucking	6/29/2012	7/4/2012	9/26/2013	see notes	Leajak	pending resolution of litigation
S	601573914	Leajak Concrete Construction Inc	7/1/2012	9/22/2012	10/10/2013	see notes	Lorache CAD/IT Services	pending resolution of litigation
S	601573914	Leajak Concrete Construction Inc	6/23/2012	10/29/2012			STP	*Pending sub docs
S	601644136	LK Media	2/1/2016	N/A - Firm is still active	N/A	None	CBE Strategic	
S	602568958	Lorache CAD-IT Services LLC	6/18/2012				STP	*Pending sub docs
S	602210303	Magnum Crane LLC	12/17/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602671980	Mak's Dump Trucking	11/18/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
S	602671980	Mak's Trucking LLC	11/14/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	4/10/2012	7/20/2012	2/15/2015		Malcolm	Contract #1 - Closed
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	1/7/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group	
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	7/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	600412278	Marine Vacuum Service Inc (Mar-Vac) - Tank Removal	10/24/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	601649423	Marshbank Const.	8/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	603190297	MBI Construction	9/17/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Crown Corr	
V	603001744	Meeds Environmental		N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602896473	Michaelis Trucking	11/30/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
V	602037833	Mindseekers	2/27/2013	N/A - Firm is still active	Active	None	STP	
R	578062075	Mobile Electric #292345	5/9/2016	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelly	
R	578062075	Mobile Electric #293767	5/12/2016	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelly	
R	578062075	Mobile Electric #297235	5/24/2016	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelly	
R	578062075	Mobile Electric #311732	8/3/2016	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelly	
R	578062075	Mobile Electric #315722	8/17/2016	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelly	
R	578062075	Mobile Electric #319808	8/15/2016	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelly	
R	578062075	Mobile Electric #322986	6/26/2016	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelly	
R	578062075	Mobile Electric Distributors Inc.	11/25/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
R	578062075	Mobile Electric Distributors Inc.					VECA	
R	578062075	Mobile Electric Distributors Inc. - 0185	4/1/2012	N/A - Firm is still active	4/1/2012	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0444	4/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0572	6/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0632	4/1/2013	N/A - Firm is still active	4/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0673	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0680	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0720	6/1/2013	N/A - Firm is still active	6/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 118390	9/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 248451	1/6/2016	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 279119	3/30/2016	N/A - Firm is still active	N/A	None	JH Kelly	
R	578062075	Mobile Electric Distributors Inc. - 29250	10/1/2013	N/A - Firm is still active	10/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 31840	11/1/2013	N/A - Firm is still active	12/11/2013	None	Fisk	Last payment received on 12/11/13 - this PO was a double payment/issue and was reimbursed under PO 444 Inv. #184

	SR 99 STP	Bored Tunnel Alternative DB Fed Employer I.D. #27-3509698						ATTACHMENT #6
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineering R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
R	578062075	Mobile Electric Distributors Inc. - 32814	6/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 45436	1/1/2014	N/A - Firm is still active	1/1/2014	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 7747	8/6/2013	N/A - Firm is still active	12/24/2013	None	Fisk	
R	578062075	Mobile Electrical Distributors	10/20/2014	1/22/2014	5/6/2015	None	US Electric	USE 1st Contract
R	578062075	Mobile Electrical Distributors Inc. - 256256	2/8/2016	N/A - Firm is still active	N/A	None	JH Kelly	
R	578062075	Mobile Electrical Distributors Inc. - 270234	2/25/2016	N/A - Firm is still active	N/A	None	JH Kelly	
S	602120171	Neppel Electric	3/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice	
S	602120171	Neppel Electric	5/1/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Sice	
S	602120171	Neppel Electric	9/1/2014	2/1/2015	Pending Retainage	Pending Retainage	STP	
V	603140359	Nolan Morrison Consulting	9/19/2011	N/I	N/I	None	STP	No Retention Held
S	601881866	Novito Construction Inc	4/1/2012	10/16/2013	12/23/2013	None	Hart Crowser Inc	
V	601643525	NVL Labs	11/10/2011	N/A - Firm is still active	Active	None	AMEC	Firm was decertified 4/25/14
S	602316638	Oatridge Security Group	10/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601872128	OMA Construction	5/1/2014	5/15/2014	1/27/2016	None	Duncan Asphalt	
S	601872128	OMA Construction	7/29/2013	12/1/2015	9/1/2014	9/10/2014	KPG Inc	
V	601872128	OMA Construction	3/1/2016	N/A - Firm is still active	Active	N/A - Firm is still active	NW Asphalt	
V	601872128	OMA Construction	10/23/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
V	601872128	OMA Construction - Drainage (#1130)	10/1/2012	N/A - Firm is still active	Active	None	Desert Plumbing & Heating Co Inc	
V	601872128	OMA Construction - Rubble	2/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group	
V	601872128	OMA Construction - Trucking North Portal	10/1/2012	N/A - Firm is still active	Active	None	STP	
V	601872128	OMA Construction - Trucking South Portal	10/1/2012	N/A - Firm is still active	Active	None	STP	
V	601872128	OMA Construction - Utility Deposition	2/1/2013	N/A - Firm is still active	Active	None	STP	
V	602896811	O'Neill Environmental Services LLC	5/11/2015	N/A - Firm is still active	Active	N/A - Firm is still active	HNTB	
V	602896811	O'Neill Environmental Services LLC	2/13/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601378055	On-Site Environmental Inc	12/14/2011	N/A - Firm is still active	Active	N/A - Firm is still active	Greylock	
V	602446858	Osborn Consulting Incorporated	5/1/2011	11/1/2012	11/1/2012	1/14/2013	HNTB	
V	602446858	Osborn Pacific Group	3/5/2012	8/6/2012	8/6/2012	1/14/2013	HNTB	
V	602884742	Osiris Enterprises LLC	1/12/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602326408	Pacific Geo Engineering (PGE)	1/4/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Mayes Testing	
V	602326408	Pacific Geo Engineering (PGE)	4/1/2013	Active	Active	NA - Firm is still active	Professional Services Industry (PSI)	
S	601083375	Penny Lee Trucking	1/1/2013	1/30/2013	8/31/2015	None	Lennon Crane	
S	602492304	Ponglia Trucking Inc	11/15/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	602492304	Ponglia Trucking Inc	4/9/2014	4/14/2015	Active	None	OMA (3rd tier to NCM Group)	
V	602492304	Ponglia Trucking Inc	11/30/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
S	603140884	Pro USA LLC	7/23/2012	10/5/2012	10/18/2012	None	Grady	
S	603140884	Pro USA LLC	11/23/2013	N/A - Firm is still active	Active	N/A - Firm is still active	HOS	
S	603140884	Pro USA LLC	5/19/2014	8/30/2014	7/1/2014	None	Marshbank	
S	603140884	Pro USA LLC	1/14/2014	3/11/2014	3/11/2014	5/23/2014	NW Asphalt	
V	603140884	Pro USA LLC	12/15/2015	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	600428960	PRR Inc.	2/22/2011	N/A - Firm is still active	Active	None	STP	
S	602643779	Puget Sound Utilities	7/1/2013	8/18/2013	2/3/2014	None	OMA	Decertified 4/1/14
V	600410718	Quality Discount Supplies & Services	1/22/2015	1/22/2015	N/A	None	Mission Glass	purchase order
R	600410718	Quality Discount Supplies & Services	10/19/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	601999311	Quality Woodworking	6/2/2015	Work has not started	Work has not started	Work has not started	STP	
S	600481702	Rebar International	4/10/2012	2/21/2013	4/16/2013	3/15/2013	FCCC	
S	600481702	Rebar International	8/22/2013	10/5/2013	10/13/2013	None	Harris Rebar	
V	602333185	Red Arrow Logistics	10/1/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Crown Corr	
V	602619945	Road Runner Transportation	11/30/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
V	602843104	Rose Super Clean & Park Management	4/6/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Osiris	
V	602628354	SAEZ Consulting	5/1/2011	N/A - Firm is still active	Active	1/14/13 & 3/12/14	HNTB	
V	602628354	SAEZ Consulting	4/30/2012	N/A - Firm is still active	Active	N/A - Firm is still active	KPG Inc	
V	602628354	SAEZ Consulting	8/31/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602682121	Savage Logistics LLC	6/17/2013	N/A - Firm is still active	Active	N/A - Firm is still active	KTI	
S	602682121	Savage Logistics LLC	2/15/2013	N/A - Firm is still active	Active	None	STP	
S	602682121	Savage Logistics LLC - PO #1279	11/20/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #1335	12/5/2013	N/A - Firm is still active	1/31/2014	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #778	6/25/2013	N/A - Firm is still active	9/7/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #797	7/23/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #804	6/7/2013	N/A - Firm is still active	7/27/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	

	SR 99 STP	Bored Tunnel Alternative DB Fed Employer I.D. #27-3509698						ATTACHMENT #6
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineering R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
V	602378706	Scheduling and Information Services Inc	4/8/2013	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelley	
V	602450813	Schemata Workshop	9/8/2014	N/A - Firm is still active	Active	Active	HNTB	Firm is active again per HNTB email 10/11/14
V	602450813	Schemata Workshop	4/16/2012	7/9/2013	11/29/2012	1/14/2013	HNTB	
S	601735583	Sea-Tac Sweeping Service	11/29/2011		3/15/2012	None	STP	pending affidavit
S	602651177	SSB Trucking & Construction	3/6/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	602216144	ST Fabrication - Crane Maint.	6/5/2012	2/28/2014	2/28/2014	9/5/2014	STP	
R/M	602216144	ST Fabrication - Purchase Orders	6/19/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
M	602216144	ST Fabrication - Reefer Racks	5/30/2012	2/28/2014	2/28/2014	9/5/2014	STP	\$10K held until documents are reviewed and corrected
S	603064398	Steelkor	4/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	454778504	Sundancer Electric	5/13/2014	7/15/2014	NA	None	Marshbank	
S	454778504	Sundancer Electric	1/9/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice	
S	454778504	Sundancer Electric	2/6/2013	N/A - Firm is still active	Active	None	STP	
S	603190024	Sundancer Electric	1/18/2016	N/A - Firm is still active	Active	N/A - Firm is still active	US Electric	Sundancer LT to USE - Contract #1 (USE #2)
S	603190024	Sundancer Electric	4/13/2015	N/A - Firm is still active	Active	N/A - Firm is still active	US Electric	Sundancer LT to USE - Contract #2 (USE #3)
S	602785735	Sunset Welding	7/5/2012	8/27/2012	1/15/2013	see notes	Leajak	pending resolution of litigation
S	602671547	Tan Trucking	11/28/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	602671547	Tan Trucking	4/9/2014	4/14/2015	Active	None	OMA (3rd tier to NCM Group)	
V	603358386	Tan Trucking	11/6/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
V	601191630	Teknograf	4/28/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Yonemitsu Geological	Requested Contract
R	601734719	The Bag Lady Inc	11/11/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	603056508	Transcon Company LLC	1/9/2012	12/31/2012	1/4/2013	3/15/2013	FCCC	
S	603056507	Transcon Company LLC	12/1/2011	N/A - Firm is still active	2/11/2013	None	Fisk	
S	603056508	Transcon Company LLC	5/9/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
S	603056507	Transcon Company LLC	12/9/2013	N/A - Firm is still active	Active	None	STP	
S	602081190	Trinity Development Corp (TLC)	10/15/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
V	602081190	Trinity Development Corp (TLC)	12/11/2015	N/A - Firm is still active	N/A	None	STP	Trucking Roster
V	601767647	TripleNet Technologies Inc	1/9/2012	N/I	N/I	None	STP	No Retention Held
S	601443883	United Professional Caulking & Restoration Inc (Unipro)	3/3/2016	N/A - Firm is still active	Active	N/A - Firm is still active	STP	Sealants & Expansion Joints
S	601443883	United Professional Caulking & Restoration Inc (Unipro)	11/3/2014	12/3/2014	Pending Retainage	Pending Retainage	STP	Harrison Street Expansion Joints
V	601965843	United Reprographics	1/12/2015	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602621504	Wakerobin LLC	9/26/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
S	601398735	Washington State Trucking	8/12/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
M	602240125	Wayron LLC	1/9/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
M	602240125	Wayron LLC #116168		N/A - Firm is still active	Active	N/A - Firm is still active	Desert Plumbing & Heating Co Inc	
M	602240125	Wayron LLC #12-09-1000-009	2/13/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Desert Plumbing & Heating Co Inc	
V	600279746	West Coast Printing	4/5/2011	11/12/2014	9/9/2014	None	STP	Firm closed business 11/2014
V	601609012	Yonemitsu Geological Services	7/8/2011	9/12/2011	8/25/2011	1/14/2013	HNTB	
V	601609011	Yonemitsu Geological Services	7/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Holocene Drilling	
V	601609012	Yonemitsu Geological Services		N/A - Firm is still active	Active	N/A - Firm is still active	Holocene Drilling (3rd Tier SolData)	
V	601609012	Yonemitsu Geological Services	12/5/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
Chart Key:								
Pending information								
Added this month								

Attachment 7: SR 99 Tunnel Design Build Contract- DBE Certified Payroll Hours Verification, October 2016

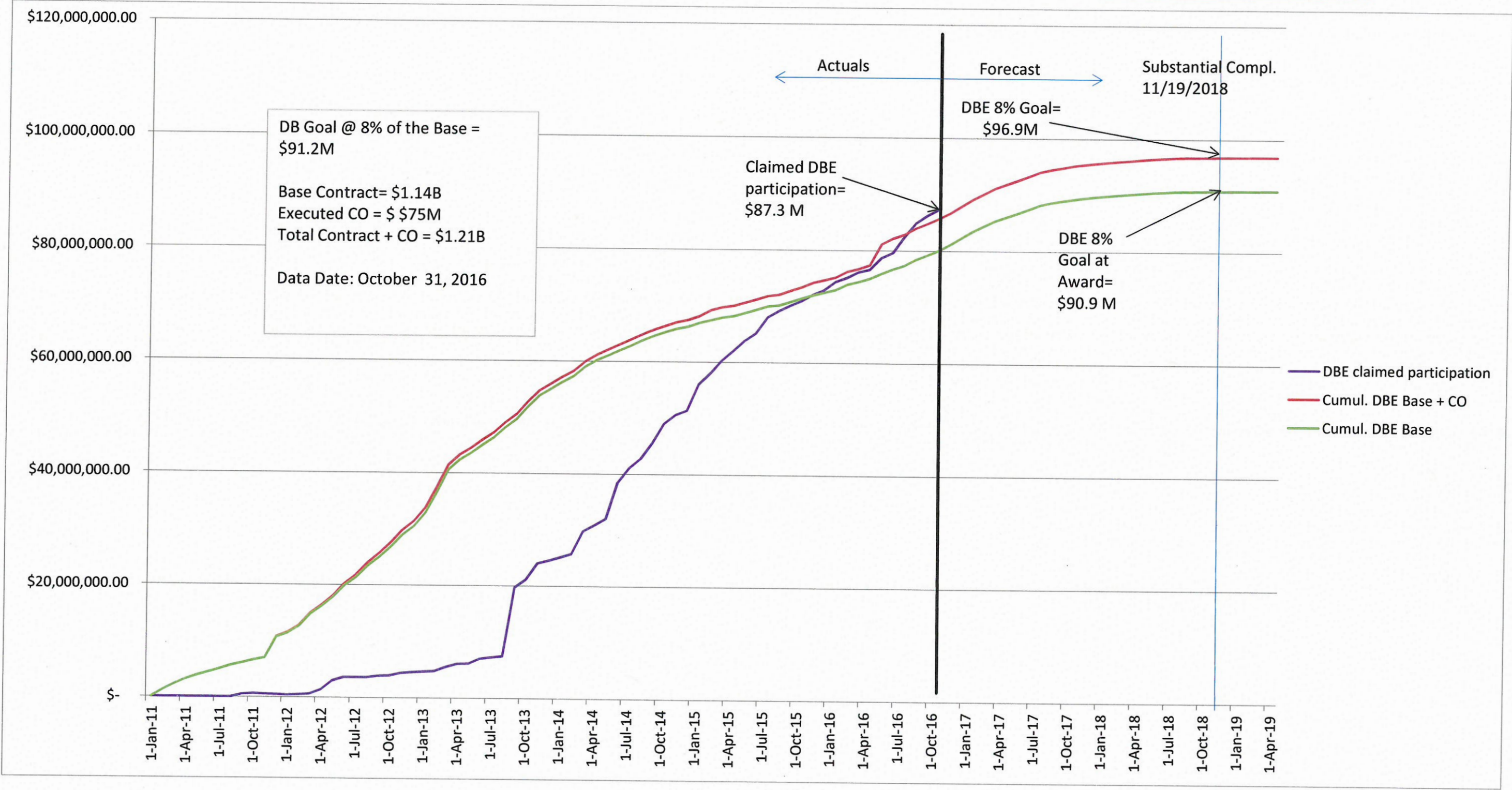
Contractor/Subcontractor	Prime Contract/ Upper Tier	Number of JMN	Number of APPR	Craft/Trade	Journeyman Hours Worked	Apprentice Hours Worked	STP's Total Hours Worked	Journeyman Totals from Certified Payroll	Apprentice Hours from Certified Payroll	WSDOT Verif. of Total Hours Worked	Difference (Delta)	Month Year	Comments
DHD Trucking	STP	2	0	Street Sweeper	62.00	0.00	62.00	62.00	0.00	62.00	0.00	Oct-16	
DHD Trucking	STP	1	0	Street Sweeper (Owner Operator)	30.00	0.00	30.00	30.00	0.00	30.00	0.00	Oct-16	
Neppel Electric	SICE/JH Kelly	4	4	Electronic Technician	392.00	405.75	797.75	392.00	405.75	797.75	0.00	Oct-16	
OMA - Job 1133 South Portal	STP	29	0	Truck Driver	833.75	0.00	833.75	879.50	0.00	879.50	-45.75	Oct-16	WSDOT verif. by calendar month.
OMA - Access Shaft	STP	4	0	Operator	229.00	0.00	229.00	260.00	0.00	260.00	-31.00	Oct-16	WSDOT verif. by calendar month.
OMA - Access Shaft	STP	4	0	Truck Driver	30.75	0.00	30.75	39.00	0.00	39.00	-8.25	Oct-16	WSDOT verif. by calendar month.
OMA - Access Shaft	STP	2	0	Laborer	26.00	0.00	26.00	36.00	0.00	36.00	-10.00	Oct-16	WSDOT verif. by calendar month.
ProUSA	Hos Bros	3	0	Truck Driver	28.50	0.00	28.50	28.50	0.00	28.50	0.00	Oct-16	
Savage Logistics	STP	1	0	Truck Driver	163.00	0.00	163.00	131.00	0.00	131.00	32.00	Oct-16	WSDOT verif. by calendar month.
Savage Logistics	KTI/B ASF	1	0	Truck Driver	5.50	0.00	5.50	0.00	0.00	0.00	5.50	Oct-16	No CPs submitted.
Savage Logistics - PO# 797	Lining Precast - FPS Encon	7	0	Truck Driver	1,403.00	0.00	1,403.00	1,403.25	0.00	1,403.25	-0.25	Oct-16	
SteelKorr	STP	3	2	Ironworker	173.00	212.00	385.00	359.50	115.00	474.50	-89.50	Oct-16	Missing CP we 10/09/2016.
Sundancer	US Electric	6	2	Electrician	2,102.50	608.50	2,711.00	1,799.00	506.00	2,305.00	406.00	Oct-16	WSDOT verif. by calendar month.
Tan Trucking	OMA	1	0	Truck Driver (Owner Operator)	9.00	0.00	9.00	9.00	0.00	9.00	0.00	Oct-16	
Trinity Development	OMA	0	0	Truck Driver (Owner Operator)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Oct-16	
Total		68	8		5,488.00	1,226.25	6,714.25	5,428.75	1,026.75	6,455.50	258.75		

Exhibit C

SR 99 Bored Tunnel Design-Build Contract

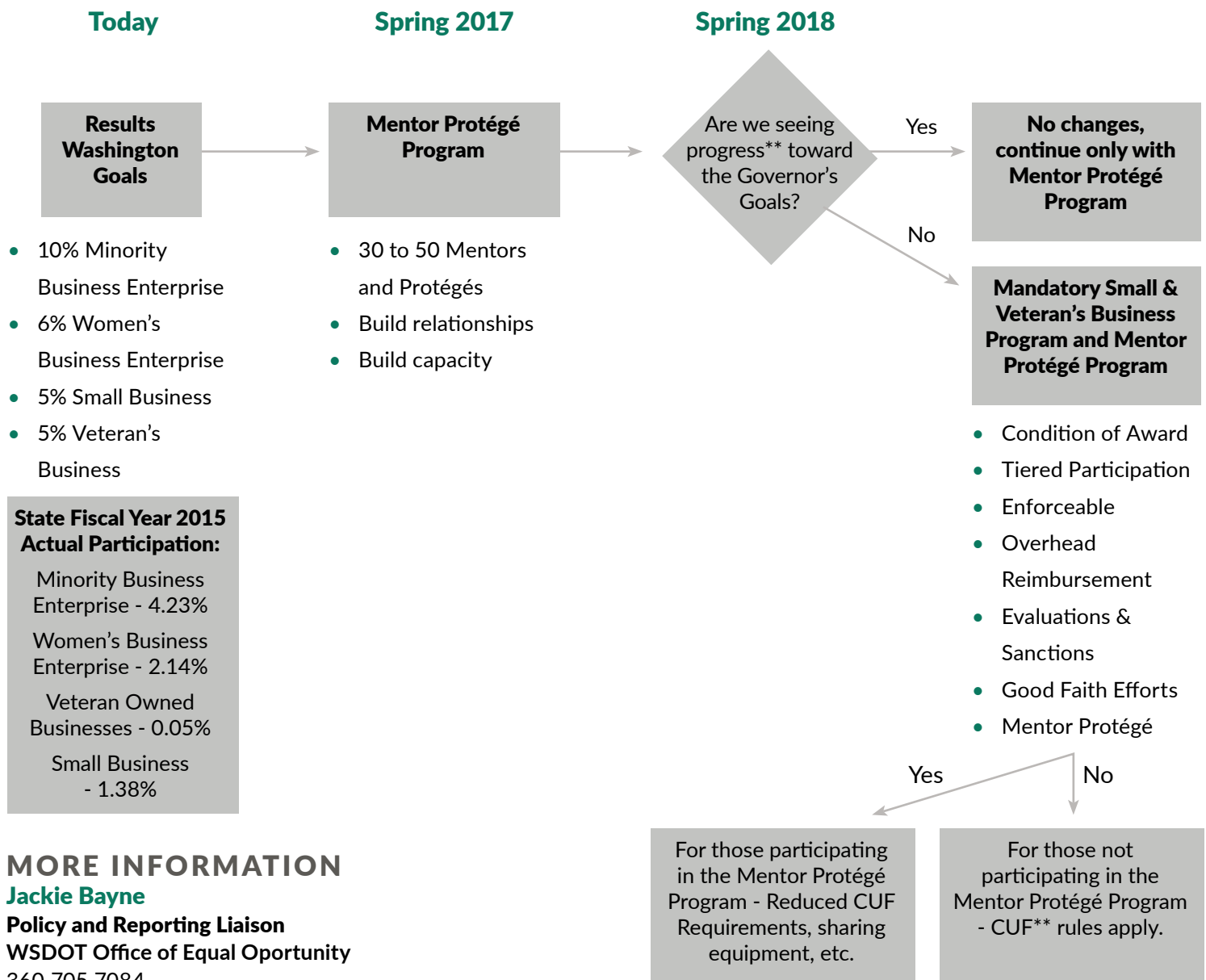
DBE Goal Attainment Tracking Graphic, through October 2016

EXHIBIT C



Data is based on the Design Builders October 2016 Payment Schedule and DBE Progress Report #68, through October 31, 2016

Office of Equal Opportunity State Funded Diversity Road Map*



MORE INFORMATION

Jackie Bayne

Policy and Reporting Liaison

WSDOT Office of Equal Opportunity

360-705-7084

BayneJ@wsdot.wa.gov

* Subject to change based on driving conditions, diverse business participation and legal environment.

** Progress and CUF to be defined.

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DBE NEWS

DECEMBER
QUARTERLY EDITION

Office of Equal Opportunity staff, coming to a region near you!

The Office of Equal Opportunity is responsible for the Disadvantaged Business Enterprise, Minority & Women's Business Enterprise, Title VI, Equal Employment Opportunity, American with Disabilities and the On-the-Job Training Support Services Programs. In an effort to continually enhance the programs we deliver, Secretary of Transportation Roger Millar has authorized 15 additional OEO staff to assist with these programs.

OEO has filled the first round of positions and will be hiring additional staff in the near future. The seven filled positions will be located throughout Washington, and we believe these additional staff will benefit your firms with enhanced issue resolution and more thorough assistance.

B2GNow – diverse spending software

Two years ago, WSDOT implemented a DBE payment tracking system to assist with monitoring and tracking DBE participation. However, there is one important task it does not do – that is monitor prompt and timely payment to DBEs.

WSDOT has entered into contract with B2GNow, a software system that assists state department of transportations with tracking DBE participation and monitoring prompt payment. With this new system, WSDOT is hopeful that DBE payment issues will be resolved more timely, and with increased agency, prime and subcontractor collaboration. WSDOT anticipates to have this system implemented in the spring 2017.

Business After Hours – a huge success!



On Nov. 9, 2016, at the Museum of Flight in Seattle, Secretary Millar presented Atkinson Construction with the Champion of Inclusion Award.

Atkinson Construction was honored for its extensive voluntary minority, women and disadvantaged participation. In the past three years, 65 percent of Atkinson Construction's minority, women and disadvantaged participation was voluntary.

This year's Business After Hours event was the best attended so far, with more than 300 participants. The event provided minority, women and disadvantaged firms a great opportunity to network with prime contractors and consultants. Thank you to everyone who attended for making the event such a success!

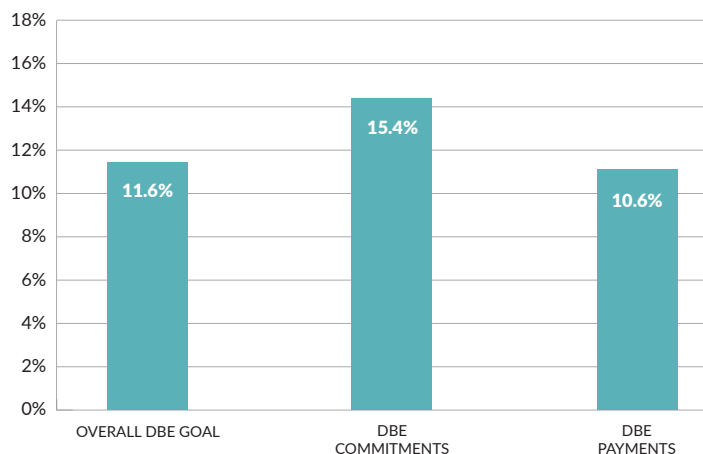
Would you like help with bidding, estimating, certified payrolls, intents, affidavits and other WSDOT required paperwork?

WSDOT understands that contracting with government agencies can be administratively burdensome, especially for smaller companies. That's why we have expert business counselors available to help minority- and women-owned firms be successful on our consulting and construction projects. For more information and to see if you qualify for free assistance, please call toll free 888-259-9143 or email DBESS@wsdot.wa.gov.

Current DBE Participation

For federal fiscal year 2016 (Oct. 1, 2015, through Sept. 30, 2016¹), WSDOT (Local Programs, Consultant Services and HQ Construction) has attained 15.4 percent in DBE commitments (condition of award goals 12.68 percent; voluntary participation 2.69 percent) and 10.6 percent in payments on closed contracts.

During this timeframe, 118 different DBEs were awarded 604 contracts worth more than \$71 million. This is money going into the pockets of small-, socially- and economically-disadvantaged businesses!



¹ This information is still being verified and may not match entirely with the DBE Semi-Annual Report.

Disparity Study Update

In April 2016, WSDOT completed a competitive procurement for DBE Program Disparity Study, selecting Colette Holt & Associates as the consultant to perform the study. One of the largest aspects of this study is an analysis of WSDOT's contracting activities. Because it took WSDOT longer than anticipated to complete the internal data collection, the study is anticipated to be completed in May 2017.

We will keep you informed as we move through the study process. If you have any questions, contact Colette Holt & Associates at wsdot_study@mwbelaw.com or call 855-692-3529. You may also contact Jackie Bayne, WSDOT Disparity Study Manager, at BayneJ@wsdot.wa.gov or call 360-705-7084.

Diverse State Business Program

WSDOT is operating under the Governor's Results Washington Goals of 10 percent minority business enterprise, 6 percent women's business enterprise, 5 percent veteran business and 5 percent small business participation on state funded projects. WSDOT has been working with our DBE Advisory Group on ways of increasing our diverse business participation. Currently, WSDOT is working on a Mentor Protégé Program. For more about this program's current direction, please visit OEO's webpage at: www.wsdot.wa.gov/EqualOpportunity/MSVWBE.htm

Americans with Disabilities Act (ADA) Information

This material can be made available in an alternate format by emailing the Office of Equal Opportunity at wsdotada@wsdot.wa.gov or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

Title VI Notice to Public

It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator at (360) 705-7082.